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(54) Title: COOLING PROCESS AND APPARATUS

(57) Abstract

Material to be frozen is subjected to a cooling process which involves the efficient removal of latent heat of freezing. This can be achieved by subjecting the material being frozen to a greater rate of heat extraction when the latent heat is being given up than when the then solid material is being subsequently cooled further. Efficient removal of latent heat is also facilitated by inducing nucleation of the frozen liquid. Nucleation can be initiated acoustically and/or chemically. The invention, which has particular application in the frozen food industry and in the cryopreservation of biological material, allows shorter freezing times and/or improved quality or viability of the frozen product.

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COOLING PROCESS AND APPARATUS

This invention relates to a method of freezing a material and to apparatus for use in such a method.

The invention has particular application in a number of fields, as it can minimise the effects of undercooling during freezing in order to alleviate or avoid damage to the material being frozen. In particular, the invention may be used in:

(A) the frozen food industry;

(B) the cryopreservation of human embryos and embryos of other animals;

(C) the freezing of human organs for transplantation;

(D) the freezing of small or large volumes of cell suspensions, such as blood, bone marrow and microorganisms;

(E) the freezing of other biological material, particularly cellular (whether plant or animal) material; and

(F) the freezing of other material, particularly where freezing must take place in controlled conditions, for example, in freeze drying and/or in the production of highly regular crystalline solids.

It is necessary to freeze or solidify many materials in commercial and industrial processes. Freezing may be

1 part of a production process or be a means of enhancing
2 the storage characteristics of the material. The
3 storage of foodstuffs by freezing is a common method of
4 maintaining their viability for long periods of time.
5 Equally, in other technical fields, cryopreservation is
6 recognised as the principal method of preserving
7 biological material, particularly delicate and valuable
8 material such as human or other animal embryos, until
9 required for use. It is anticipated that there are
10 further possibilities for the application of
11 cryopreservation techniques to biological material:
12 there is a major shortage of human tissues and organs
13 for transplantation including corneas, pancreas,
14 kidney, liver and heart.

15
16 Although the freezing of foodstuffs, the
17 cryopreservation of biological material and the
18 solidification of other materials may seem to be a
19 disparate collection of industrial and commercial
20 processes, in fact they tend to share a common major
21 problem. During cooling of the "material" (which will
22 be used as a generic term), liquid in the material (for
23 example in medium surrounding cells in a biological
24 sample) tends to supercool to a point below its
25 freezing or solidification point before nucleation of
26 the solid phase occurs. This is also known as
27 undercooling. Supercooling or undercooling can cause
28 damage to the material, and in the case for example of
29 embryos can even prevent their survival, because of the
30 following effect. (Although the discussion that follows
31 relates to material comprising liquid water and the
32 formation of solid ice, the same principles would apply
33 to other liquid/solid systems.)

1 Conventionally, as an aqueous material is cooled at a
2 steady rate, the temperature of the material will fall
3 with the surrounding falling temperature until the
4 nucleation point of the liquid is reached. Because of
5 the tendency to supercool, this will be below the
6 melting point. At the nucleation point, water in the
7 material crystallises into ice, thereby liberating
8 latent heat of fusion. The temperature of the material
9 at this point rises from the nucleating point almost to
10 the melting point. Once the latent heat of fusion has
11 been lost by the material and/or its associated water,
12 the temperature of the material again begins to fall.
13 However, because the surrounding temperature has by
14 this stage become cooler, there is a greater
15 differential between the material temperature and the
16 surrounding temperature, so the material cools much
17 more quickly. This results in the relatively
18 uncontrolled formation of ice crystals, whose large
19 size can have a deleterious effect.

20
21 This leads to a real problem for the frozen food
22 industry. A conventional technique employed by the
23 food industry to freeze food is to use a blast or
24 tunnel freezer where the food is cooled by cold gas.
25 Inside the freezer there is a gradient of gas
26 temperature, the temperature being warmest at the end
27 at which the food is introduced and gradually becoming
28 lower as the food passes through the freezer.
29 Initially the sample cools in parallel with the gas
30 temperature. However, after nucleation the food
31 temperature rises to the latent heat plateau. Here,
32 the rate of loss of heat from the food to the
33 environment is proportional to the temperature

1 difference which increases while the latent heat is
2 being given up. The food is therefore buffered at this
3 exotherm until the latent heat of fusion has been
4 dissipated, at which time the temperature of the sample
5 will then rapidly equilibrate to the environment
6 temperature, resulting in a sharp drop in temperature.

7
8 In the frozen food industry, products such as some soft
9 fruits (eg. peaches, plums, raspberries) and seafoods
10 (eg. lobster, crab, prawn, finfish) are often of poor
11 quality when thawed. With other soft fruits (eg.
12 strawberries, kiwi fruit, mango), various vegetables
13 (such as new potatoes and asparagus) and some dairy
14 products (for example single cream) the problem is more
15 extreme and these products are not frozen on a
16 commercial basis. A major component of such
17 freeze-thaw injury is the loss of texture due to
18 mechanical damage caused by uncontrolled nucleation of
19 ice crystals and their subsequent growth associated
20 with prolonged periods at the latent heat plateau.

21
22 The quality of products which are consumed in the
23 frozen state such as ice cream, sorbets and ices are
24 related to the size and distribution of ice crystals,
25 formation of which is often difficult to control.
26 Furthermore, in conventional freezing methods, water in
27 the sample nucleates on the outside and ice propagates
28 towards the centre. The evolution of latent heat at
29 the periphery of the sample results in the core being
30 thermally buffered and "shell" freezing occurs.

31
32 With the cryopreservation of sensitive biological
33 cellular material, cellular material, there is an

1 additional harmful effect resulting from supercooling
2 or undercooling. As ice forms in the medium the
3 concentration of any solutes in the remaining liquid
4 increases. By osmotic pressure, the cells will thus
5 dehydrate, as a result of water moving to the more
6 concentrated medium. If the cells have insufficient
7 time to dehydrate, then intracellular ice may form,
8 which is generally lethal to the cell.

9
10 In order to minimise the potential problems caused by
11 supercooling, EP-A-0246824 teaches that a range of
12 solid materials can be used to cause water in an
13 aqueous medium to be nucleated at, or close to, the
14 freezing point of the medium. However, even with this
15 considerable improvement over prior methods, care still
16 needs to be taken in otherwise conventional cooling
17 methods that damage does not occur during the
18 relatively rapid cooling period after the temperature
19 plateau during which at least some of the latent heat
20 of fusion of the medium is being lost.

21
22 The above discussion has centred on material comprising
23 (and in particular containing a significant amount of)
24 water. Water has a strong tendency to cool below its
25 freezing point (the supercooling or undercooling
26 effect) which introduces complications in cooling of
27 biological tissues which have many membrane bound
28 compartments which limit the propagation of ice. A
29 variety of methods have been described to initiate ice
30 nucleation. A number of inorganic compounds, silver
31 iodide being a common example, and organic compounds
32 (see EP-A-0246824, discussed above) and "ice
33 nucleating" bacteria (members of the genera

1 Xanthomonas, Pseudomonas, and Erwinia) have been
2 demonstrated to have a crystal lattice structure which
3 are effective nucleators of ice in supercooled water.
4 Whilst these compounds have applications, for example
5 in the seeding of rain clouds, biological
6 cryopreservation and snow formation respectively, they
7 cannot be readily applied to foodstuffs due to
8 toxicity, legislation or problems of application.

9
10 The problems of uncontrolled nucleation have been seen
11 effectively to prevent the commercial freezing of
12 certain foodstuffs, as discussed above. Although
13 similar (or worse) problems have arisen in the somewhat
14 more specialist field of cryopreserving biological
15 samples, some attempts have been made to initiate
16 nucleation in a relatively controlled manner, in
17 addition to the seeding process described in
18 EP-A-0246824. For example, ice nucleation has in the
19 past been initiated by either (a) mechanical shaking,
20 (b) thermoelectric shock, (c) thermal shock or (d)
21 direct addition of ice crystals.

22
23 Mechanical shaking is an inefficient cumbersome process
24 that may damage the sample. Thermoelectric shock can
25 be delivered by supplying a current across the sample
26 in the case of a solid or container enclosing a liquid
27 sample. The technique uses the reverse of the Peltier
28 thermocouple effect. Thermal shock may be achieved by
29 contact of the sample with a much colder surface or the
30 insertion of a precooled surface such as a metal wire
31 or glass rod. Perhaps the least inelegant of the
32 present processes is the direct addition of ice
33 crystals to a liquid sample or the surface of a solid.

1 These last three invasive processes are unsuitable for
2 foodstuffs. There is therefore a need for an improved
3 non-invasive method of avoiding the serious
4 consequences of supercooling and subsequent nucleation.
5

6 The present invention addresses the problems discussed
7 above and provides a surprisingly simple and elegant
8 solution, which can be put into practice in a variety
9 of relatively straightforward ways.
10

11 At its broadest, the invention provides, in a first
12 aspect, a method of freezing material comprising a
13 liquid, the method comprising extracting heat from the
14 material and varying the rate of heat extraction to
15 compensate at least in part for latent heat being lost
16 during freezing.
17

18 More particularly, according to a second aspect of the
19 present invention, there is provided a method of
20 freezing material comprising a liquid, the method
21 comprising extracting heat from the material at a first
22 rate while latent heat of fusion of the material is
23 being lost from the material and the temperature of the
24 material is not substantially falling and subsequently
25 extracting heat from the material at a second rate when
26 the temperature of the material falls, the first rate
27 of heat extraction being greater than the second rate
28 of heat extraction.
29

30 The invention therefore seeks to minimise or at least
31 reduce the amount of time the sample spends at the
32 temperature "plateau" during which the latent heat of
33 fusion is being lost. In relation to the freezing of

1 biological samples, there is evidence (Parkinson and
2 Whitfield, Theriogenology 27 (5) 781-797, (1987)) that
3 the survival of cryopreserved bull spermatozoa is
4 inversely related to the time at the latent heat
5 plateau; however, Parkinson and Whitfield appear to
6 advocate a lower cooling rate between 5° and -15°C than
7 between -15°C and -25°C. The problem is however not
8 restricted to the viability of living systems: for
9 foodstuffs in particular, an excessively long time at
10 the latent heat plateau leads to damage mediated
11 mechanically by the effects of ice crystals and
12 chemically by unusual osmotic effects, for example, in
13 the semi-frozen state. It has been observed that
14 longer periods of time at the latent heat plateau lead
15 to the formation of longer ice crystals and to a
16 degeneration in quality of the subsequently thawed
17 product.

18

19 By means of the heat extraction regimen of the method
20 of the present invention, the cooling rate can be
21 controlled so that the material being frozen suffers
22 few or no deleterious effects. In particular, as at
23 least some of the latent heat of fusion is being given
24 up by the material, the heat extraction rate is
25 greater. However, the temperature of the material will
26 not substantially decrease during the period when
27 significant quantities of the latent heat of fusion
28 being given up by the material. After at least some of
29 the latent heat has been given up, the lesser rate of
30 heat extraction is necessary so as to prevent too great
31 a range of temperature drop. The first rate of heat
32 extraction may therefore take place when the
33 temperature is increasing or constant or the rate of

1 temperature drop of the material is not substantial
2 (for example, less than 1°C/min or even 0.1°C/min), and
3 the second rate may be applied when the rate of
4 temperature drop is at least 0.1°C/min or even 1°C/min.
5

6 The invention may also permit a shorter dwell time in a
7 freezing apparatus, before transfer of the material
8 being frozen to a cold storage environment, and this
9 may be of significant advantage.
10

11 It should be noted that the use of the term "rate" as
12 applied to heat extraction does not imply that either
13 the first or second rate of heat extraction is
14 constant. Either or both rate may vary, and in some
15 instances a variable heat extraction rate may be
16 preferred, to achieve non-linear and/or interrupted
17 cooling. An "interrupted cooling" profile includes a
18 profile having an initial rate of cooling, followed by
19 an isothermal hold, which in turn is followed by a
20 subsequent cooling rate (which may or may not be the
21 same as the initial cooling rate). Non-linear and
22 interrupted cooling profiles have biological and
23 non-biological application. Overall, in this invention
24 the second heat extraction rate must be less than the
25 first.
26

27 It should also be noted that the term "first", as
28 applied to heat extraction rate, does not preclude the
29 use of a different heat extraction rate prior to the
30 latent heat temperature plateau being reached.
31

32 It will be understood that the word "frozen", as used
33 in this specification when applied to complex mixtures

1 of solvent(s) and solute(s), such as biological
2 material and/or foodstuffs, does not necessarily imply
3 that all matter in the material is in the solid state.
4 For example, to take the case of a frozen foodstuff
5 such as strawberries at -25°C , about 10% of the fruit
6 will be liquid at that temperature, yet the
7 strawberries would in ordinary parlance be referred to
8 as "frozen": it is in this sense that the word
9 "frozen" is used, and cognate terms should be construed
10 accordingly.

11

12 The second rate of heat extraction will determine the
13 rate of cooling of the solidifying or solid material.
14 The rate of cooling selected should be such as not to
15 damage the material, for example by enabling
16 significant ice crystals to form in aqueous systems.

17

18 The second rate of heat extraction will vary widely,
19 depending on the nature of the material. For mammalian
20 embryos, for example, the second heat extraction rate
21 should be such that the cooling rate does not exceed
22 $0.5^{\circ}\text{C}/\text{min}$ and should preferably be about $0.3^{\circ}\text{C}/\text{min}$ at
23 least in the range of -5° to -30°C . However, for
24 reasons of expediency, within these limitations cooling
25 should be as rapid as possible. Although these
26 criteria apply to mammalian embryos, other materials
27 may have their own criteria; for example, samples
28 containing hybridomas, lymphocytes, tissue culture
29 cells (eg mammalian) and various microorganisms may be
30 cooled at a greater rate, for example from $0.5^{\circ}\text{C}/\text{min}$ to
31 $1.5^{\circ}\text{C}/\text{min}$, such as about $1^{\circ}\text{C}/\text{min}$. For other material,
32 for example oyster embryos the cooling rate may be
33 about $5^{\circ}\text{C}/\text{min}$, and for red blood cells, the rate may be

1 several thousand °C/min, for example up to about
2 3000°C/min.

3
4 In this invention, the first rate of heat extraction is
5 applied while latent heat of fusion of the material is
6 being lost. This should not be taken to mean that all
7 of the latent heat of fusion has to be lost during the
8 application of the first rate of heat extraction. In
9 any aqueous sample, for example, latent heat will be
10 liberated from the temperature of nucleation down to
11 the eutectic temperature or the glass transition.
12 However the majority (for example at least 70% or 80%
13 or even at least 90%) is generally liberated at the
14 freezing point and a few (for example 5 or 10) degrees
15 celcius below. The first rate of heat extraction is
16 for preference applied while a majority (for example at
17 least 80% or even at least 90%) of the water is
18 converted into ice, which is to say while a majority
19 (for example at least 80% or even at least 90%) of the
20 total latent heat of fusion of the material is being
21 lost.

22
23 From phase diagrams of simple solutes such as sodium
24 chloride, the amount of unfrozen water in the system
25 can be seen to decline exponentially with temperature.
26 At any sub-zero temperature, the proportion of unfrozen
27 water is directly related to the osmolarity of the
28 unfrozen solution. For solutions of interest to the
29 food industry (for example 0.5 and 0.25M sodium
30 chloride solutions and their equivalents) 80% of the
31 ice will have formed by -10°C.

32
33

1 The invention can therefore be seen to embody the
2 notion of efficient removal of latent heat during
3 freezing or, in preferred embodiments, during the
4 conversion of, say, 80% of water into ice. In those
5 systems where phase diagrams cannot be derived, then
6 the efficient removal of latent heat from the melting
7 point (ie the latent heat plateau) to 5°C or 10°C below
8 the melting point. Although efficiency is to some
9 extent a relative concept, in certain embodiments of
10 the present invention latent heat removal (for example
11 to the extent referred to above) may be considered
12 efficient if it is achieved in 50% or less than 50% of
13 the time observed when following conventional blast
14 freezing techniques at -30°C.

15
16 The method is particularly applicable to the freezing
17 and cryopreservation of biological samples, which
18 thereby constitute preferred examples of material which
19 can be frozen by means of the invention. The term
20 "biological sample" includes cells (both eukaryotic and
21 prokaryotic), organs and tissues composed of cells,
22 embryos, viruses, all of which can be natural or
23 modified genetically or otherwise, and biologically
24 active molecules such as nucleic acids, proteins,
25 glycoproteins, lipids and lipoproteins. The liquid
26 present in or constituting the material will generally
27 be water, but the invention is not limited to aqueous
28 materials.

29
30 The invention may be used in the cryopreservation of
31 animal cells, particularly gametes or fertilised
32 eggs/embryos. However, other animal cells and plant
33 cells can advantageously be frozen by means of this
34 invention.

1 Another significant application for the invention is in
2 the frozen food industry, where it may be important for
3 reasons of preserving taste and/or texture or otherwise
4 to freeze food quickly and efficiently and without
5 causing excessive damage to the biological or other
6 material which constitutes the food. For example, soft
7 fruit when frozen by conventional means loses much of
8 its taste and/or texture. The material is thus
9 preferably a foodstuff, such as vegetables, bread and
10 other bakery products, meats, fish, sea food (eg.
11 lobster, crab, prawns, finfish) or fruit, in particular
12 soft fruit such as peaches, plums, raspberries,
13 strawberries, kiwi fruit and mango. Non-aqueous systems
14 and emulsions, such as chocolate (whether plain, milk
15 or white), ice cream, cream and mayonnaise, may also be
16 frozen by means of this invention, as may reconstituted
17 food products.

18

19 The invention also has application to non-biological
20 material which needs to be frozen in a controlled
21 fashion. This may be necessary or desirable for
22 certain foodstuffs and/or other material in which the
23 rate and nature of crystal formation is important.
24 Sorbets and ices may fall into this category.

25

26 The invention can also be applied to the
27 cryopreservation of organs for transplantation and
28 large volumes of cell suspensions such as blood, bone
29 marrow and microorganisms.

30

31 The volume of the sample to be frozen is not
32 particularly critical, but when freezing or
33 cryopreserving gametes or fertilised egg/embryos in the

1 biological sciences, the sample volume will generally
2 be less than 1ml, typically less than 0.5ml and may
3 even be less than 0.2ml. Volumes of 0.5ml and 0.25ml
4 are common. For the frozen food industry, the volumes
5 to be dealt with will of course be much larger, often
6 several dm³ or even m³.

7
8 Particularly in the case of cryopreserving biological
9 samples for scientific, clinical or commercial use, the
10 material to be frozen may be in a container or on a
11 carrier. Suitable containers include ampoules, tubes,
12 straws and bags (particularly thin-sectioned bags,
13 which may be held between two heat conductive (eg
14 metal) plates). Appropriate polymers include plastics
15 materials such as polypropylene or polyvinyl chloride.
16 Containers which are small in at least one dimension
17 are preferred, as temperature gradients may then be
18 ignored across the small dimension or dimensions.
19 Tubes, straws and thin-sectioned bags are particularly
20 preferred for this reason.

21
22 In a further important aspect, the invention involves
23 the use of acoustics, particularly acoustics of the
24 type generally known as high frequency sound or
25 ultrasound. The application of acoustics/ultrasound to
26 improve the crystalline structure of metal castings is
27 known as dynamic nucleation. Whilst
28 acoustics/ultrasound may induce nucleation in
29 supercooled metals, the predominant benefit is grain
30 refinement. Irradiation with acoustics also improves
31 heat transfer at the boundary layer. Nucleation of ice
32 formation by acoustics has received scant attention in
33 the past. For example, Hobbs ("Ice Physics", Clarendon

1 Press, Oxford, 1874) which is regarded as a standard
2 work in the area, does not mention the potential of
3 acoustics in ice formation. Two Russian patent
4 documents, with commercially impracticable teachings
5 are however known.

6
7 In SU-A-0618098 food products were stated to be frozen
8 more rapidly and their quality improved by placing in a
9 coolant and simultaneously exposing to ultrasound at
10 18-66 kHz and 16-40 W. The treatment was stated to
11 increase heat exchange at the boundary layer and caused
12 ordered formation of finely-crystalline ice. The
13 document does not disclose ice nucleation, but, by
14 reference to and inference from the metallurgy
15 industry, grain refinement is probably the result of
16 ultrasonication.

17
18 SU-A-0395060 teaches a similar process where the
19 freezing process time was reduced from 5 min 10 sec to
20 3 min 5 sec, clearly a manifestation of improved heat
21 transfer. Ultrasound was also stated to exert a
22 beneficial effect on crystallisation processes, but
23 again nucleation by the ultrasound was not stated.
24 Both these processes are, however, commercially
25 unacceptable as disclosed for a number of reasons.

26
27 First, it has been found that when the process was
28 repeated with strawberries or strawberry slices (4.5mm)
29 the thawed product was of unacceptable quality. There
30 was no detectable improvement in the quality of the
31 fruit compared with material frozen in a conventional
32 (-30°C) blast freezer without the use of ultrasound.
33 Secondly, the processes described require immersion of

1 the food in a bath of either ethylene glycol (-22°C) or
2 freon 12 (-29.8°C). The possibility of contamination
3 of the food with either of these substances would be an
4 unwelcome risk under commercial circumstances, and the
5 cost of these chemicals may in practice prove
6 prohibitive.

7
8 Thirdly, the power that is used (2 to 3 w/cm²) is very
9 high: this will not only have a severe warming effect
10 on the food, it may also induce cellular damage to
11 material being frozen.

12
13 After nucleation of ice within a food the latent heat
14 of fusion should be removed as quickly as possible to
15 minimise the effect of supercooling. It is known in
16 the food freezing industry that to achieve this the
17 samples may be immersed into cryogens, such as liquid
18 nitrogen (-196°C), liquid CO₂ or freons, but this has
19 several associated problems.

20
21 First, with large biological samples (such as above 5mm
22 diameter) "shell" freezing will occur resulting in
23 fracture and cracking of the sample.

24
25 Secondly, in some fruits, such as strawberries, a
26 secondary type of tissue damage occurs if the fruit is
27 cooled below -100°C. It is extremely difficult to
28 conduct a liquid nitrogen immersion process without
29 causing damage by exceeding the minimum storage
30 temperature.

31
32 Thirdly, the immersion of samples into liquid nitrogen
33 is a costly process and therefore uneconomic and likely

1 to be unsustainable in the frozen food industry.

2

3 The teachings of SU-A-0618098 and SU-A-0395060 may be
4 unworkable on a practical basis if directly applied to
5 freezing liquid-containing material such as biological
6 material and/or foodstuffs, and it appears that the
7 frozen food industry has largely ignored the
8 possibility of using acoustics in freezing processes.

9

10 It has now been discovered that the use of sound,
11 particularly high frequency sound, is highly beneficial
12 when used in conjunction with or even independently of
13 a heat extraction method in accordance with the first
14 aspect of the invention. Preferably, therefore, the
15 material being frozen is subjected to sound waves,
16 which may be high frequency sound waves.

17

18 The high frequency sound waves are preferably
19 ultrasound waves, generally at a frequency of at least
20 16 kHz, for example from 18-80 kHz. The frequency at
21 which acoustics is preferably applied ranges from 20
22 kHz to 50 kHz. Typically the applied frequency is from
23 20 kHz to 30 kHz; the optimal range for at least some
24 applications appears to be from 22.5 kHz to 25 kHz.

25

26 Supercooled material may be subjected to the sound
27 waves for from 0.1 to 1.0 seconds. Alternatively, the
28 material may be pulsed or otherwise supplied with
29 acoustics throughout the freezing process. It is
30 preferable for the acoustics to be applied as one or
31 more pulses. The pulse duration should on average
32 preferably be from 5% to 20% of the total time of
33 pulse-plus-interval; preferably the pulse length is from

1 0.5 to 5 seconds, with about 2 seconds being optimal.
2 Pulses of about 2 seconds in 20 seconds have been found
3 to be particularly effective. The power and/or
4 frequency may be varied (either discreetly or
5 continuously) during application. More than one
6 frequency may be used at the same time. It may be
7 particularly appropriate to apply acoustics when
8 certain material being frozen is in the liquid phase;
9 this may apply in particular to ice cream.

10

11 As far as the power at which the acoustics is applied,
12 there is clearly a conflict in requirements. On the
13 one hand the power should be high enough for the
14 acoustics to be effective, and on the other hand the
15 power should not be so high as to cause unacceptable
16 heating of the material being frozen (as the energy
17 applied will be dissipated as heat). Power applied
18 between 0.05 and 1.9 or 2.0 W/cm² was found to be
19 acceptable, with a range of 0.1 to 1.5 W/cm² being
20 preferred and about 0.2 to 1 W/cm² being optimum.

21

22 This non-invasive technique of inducing ice nucleation
23 thus at least mitigates, or overcomes, problems
24 associated with prior art techniques.

25

26 The sound waves may be generated by sound wave
27 generators known in the art, such as ultrasonic baths,
28 piezoelectric transmitters and suitable transducers.
29 Thus the material may be in contact with the sound wave
30 generator, for example inside a container such as a
31 mould in contact with a piezoelectric transmitter, or
32 on a conveyor belt in contact with a suitable
33 transducer. In this latter embodiment the material may

1 thus be moved within an environment having a
2 temperature gradient, such as a conventional blast or
3 tunnel freezer.

4
5 Four preferred methods of inducing ice nucleation using
6 high frequency sound waves are as follows.

7
8 1. The sample is immersed in an ultrasonic bath which
9 is preferably maintained at, or about, the freezing
10 temperature of the material (eg. -20°C). Thus the
11 sound wave generator serves to both provide the high
12 frequency sound waves and also to cool the material.
13 The material will generally be immersed in a liquid,
14 preferably an aqueous liquid, such as water. However,
15 the material, if desired, may be contained or enclosed
16 in a mould which is particularly suitable for the
17 freezing of ices.

18
19 2. The material may be placed in a container, such as
20 a mould, which is cooled in a freezing bath. A
21 piezoelectric transmitter is placed in contact with, or
22 built into, the mould to deliver the high frequency
23 sound waves. This method is particularly suitable for
24 frozen sorbets, ices and ice creams.

25
26 3. The material may be placed on top of a conveyor
27 belt which is in contact with, or interrupted by, one
28 or more transducers. This method is particularly
29 suitable for thin layers of material, such as slices of
30 foodstuffs such as soft fruits. The contact between
31 the material and conveyor belt ensures that the sound
32 waves are transmitted efficiently to the whole of the
33 material. Cooling of the material can be achieved by

1 passing the conveyor belt through, for example, a
2 conventional blast freezer. It is preferred that a
3 short zone of acoustic transducers is placed at a
4 particular point along the conveyor belt to achieve
5 maximum nucleation in the material.

6
7 4. For larger materials and those of non-planar
8 geometry, such as spheres and cylinders, to achieve
9 more than a point contact with an ultrasonic source, it
10 is preferable to immerse the sample either fully or
11 partially in a liquid in a container. The high
12 frequency sound waves can then be applied via
13 transducers, but the material will be immersed in the
14 liquid for only a short period (for example less than
15 one second). The temperature of the container is
16 preferably maintained so as to keep the material at its
17 freezing temperature, for example about -5°C . The
18 liquid in the container is preferably kept below its
19 freezing point by the addition of non-toxic chemicals,
20 for example food grade chemicals. This has the
21 advantage that the material may be simultaneously
22 coated with the food grade chemical. Preferred food
23 grade chemicals include sugars and glycerol, for
24 example to freeze the material and add a glaze. This
25 embodiment may be combined with a continuous process
26 such as the material being carried along a conveyor
27 belt as discussed above. For example, the conveyor
28 belt may dip into an ultrasonic bath, suitably for a
29 short period such as less than one second, when it is
30 subjected to ultrasound.

31
32 The material is preferably precooled before subjection
33 to the high frequency sound waves to induce ice

1 nucleation. Suitably the material will be cooled so
2 that it is at the same temperature, namely of thermal
3 equilibrium, as the environment. This is since if a
4 large temperature difference exists between the
5 material and its environment then a temperature
6 gradient will be established across the material and
7 nucleation will occur on the outside and the ice front
8 will propagate towards the centre, resulting in
9 unwanted "shell" freezing. Thus, if the whole of the
10 material is precooled to the temperature of the
11 environment, and in particular such that the inside of
12 the material is at the same temperature as the
13 environment, then on subjection to the high frequency
14 sound waves ice nucleation may be induced on the inside
15 and preferably at the centre, of the material. Usually
16 the material will be thermally equilibrated with the
17 environment below its freezing point.
18

19 The application of acoustics, as preferred for the
20 present invention, as described above, itself forms an
21 independent aspect of the invention. It has been found
22 that if the immersion techniques suggested in the
23 Russian patent documents described above is avoided, it
24 is possible for acoustics to be beneficial and
25 commercially feasible. According to a further aspect
26 of the invention, there is provided a method of
27 freezing material comprising a liquid, the method
28 comprising abstracting heat from the material and
29 applying sound waves to the material by means of a
30 non-liquid contact with the material. Generally, there
31 will in this aspect of the invention be solid or
32 mechanical contact between a source of high frequency
33 sound waves and the material to be frozen, but

1 gas-mediated contact may be adequate. The contact may
2 for example be achieved by the use of a source of high
3 frequency sound waves in the form of a probe, such as
4 the BRANSON LUCAS-DAWE probe, in direct contact with
5 the material. Alternatively or additionally, the
6 material could rest on a solid surface, to which was
7 mechanically connected, directly or indirectly, a
8 source of high frequency sound. It will be appreciated
9 that a layer of suitable material may be interposed
10 between the material to be frozen and the solid
11 surface, for example to prevent contamination and/or
12 undesirable sticking, but this is not to be regarded as
13 detracting from the mechanical connection, which is
14 just rendered somewhat more indirect. Further, it is
15 to be understood that uniform contact between the
16 material and the surface is not necessary: it is only
17 necessary for there to be sufficient contact for the
18 sound waves to be transmitted effectively.

19

20 A fluid-filled (preferably liquid-filled) layer may be
21 interposed in the sound path between the source of high
22 frequency sound and the material to be frozen. This is
23 not to say that liquid is in contact with the material
24 to be frozen; on the contrary, the fluid layer simply
25 aids transmission and/or distribution of the high
26 frequency sound waves into the material. the fluid may
27 be any organic solvent, but is preferably freon,
28 glycol, ethanol or a food-compatible solvent such as
29 sold under the trade mark ISOPAR. The ISOPAR K product
30 may be the most preferred.

31

32 It is to be understood that the "non-liquid contact" of
33 the material to be frozen does not necessarily imply

1 complete dryness. For example, if cut fruit is being
2 frozen, a small amount of liquid may be released from
3 the fruit itself. This is however to be contrasted
4 with immersion within a sound-transmitting liquid,
5 which is not within this aspect of the invention.

6
7 It has also been discovered that if the relatively high
8 power levels taught in the Russian patent documents
9 referred to above are avoided then, contrary to
10 expectations the results are better; further, a lower
11 power level can be delivered by a more economical piece
12 of equipment. According to a further aspect of the
13 invention, there is therefore provided a method of
14 freezing material comprising a liquid, the method
15 comprising abstracting heat from the material and
16 applying sound waves to the material at a power level
17 of less than 2 W/cm^2 . Preferred features of this
18 aspect of the invention are as described above.

19
20 Further, intermittent application of acoustics may
21 provide the basis for improved performance over the
22 disclosure of the Russian patent documents.

23
24 Correspondingly, the invention relates in further
25 aspects to an apparatus for freezing material
26 comprising a liquid, the apparatus comprising means for
27 abstracting heat from the liquid and means for applying
28 sound waves to the material, wherein (a) the sound
29 waves are applied to the material by means of a
30 non-liquid contact with the material and/or (b) the
31 means for applying sound waves to the material is
32 adapted to deliver the sound waves at a power level of
33 less than 2 W/cm^2 and/or (c) the means for applying

1 sound waves to the material is adapted to deliver the
2 sound waves intermittently. Preferred features are as
3 described above.

4
5 Methods in accordance with the invention work well in
6 conjunction with the use of other means for inducing
7 ice to nucleate, such as by using chemical (for example
8 crystalline) ice nucleators, such as is disclosed in
9 EP-A-0246824. Such nucleators can be used to determine
10 reasonably accurately when ice nucleates. The
11 nucleator may be coated on one or more walls of a
12 container for the material and/or on a carrier for the
13 material. As is disclosed in EP-A-0246824, cholesterol
14 is a preferred nucleator.

15
16 Heat extraction may be achieved by any convenient way.
17 In principle, it is possible for heat to be extracted
18 by an endothermic reaction taking place in the
19 material. However, it will usually be more convenient
20 to provide a temperature gradient between the material
21 and at least part of the surrounding environment, which
22 should be cooler than the material. This embodiment of
23 the invention takes advantage of Newton's law of
24 cooling, which states that the heat loss will, for
25 small temperature differences be proportional to the
26 temperature difference between the material and the
27 surroundings.

28
29 Heat extraction can therefore most easily be achieved
30 in many applications of the present invention by
31 placing the material in a cold environment. It
32 therefore follows that, to achieve first and second
33 heat extraction rates where the first heat extraction

1 rate is greater than and followed by the second, the
2 sample can be moved from a cold environment to a less
3 cold environment, for example by means of a conveyor
4 system. In practice in some applications, it may be
5 easier to change the environment temperature rather
6 than to move the sample, in which case the environment
7 temperature is increased at the interface between the
8 first and second rates.

9
10 Suitable environment temperatures for the first and
11 second heat extraction rates will be apparent to those
12 skilled in the art. For preference, the environment
13 temperature for the first heat extraction rate will be
14 at least 15°C, and preferably at least 25°C lower than
15 the environment temperature for the second heat
16 extraction rate. When the material to be frozen
17 comprises water, for example in the case of biological
18 material such as organs or, particularly, foodstuffs,
19 the environment temperature for the first heat
20 extraction rate can be for example less than -50°C, or
21 even -80°C or -100°C; the environment temperature for
22 the second heat extraction rate may be -20°C to -30°C.
23 For foodstuffs, the environment temperature for the
24 second heat extraction rate may be the final desired
25 storage temperature. For biological material that is
26 to be cryopreserved, it may be desired to reduce the
27 environment temperature further, for example after the
28 second heat extraction rate.

29
30 The preferred minimum environment temperature for the
31 first heat extraction rate may in part be determined by
32 tolerance of the material being frozen to temperature
33 gradients. For fruit at least, and possibly for other

1 foodstuffs and biological material, placing material to
2 be frozen which has equilibrated with room temperature
3 in an environment temperature for the first heat
4 extraction rate of -100°C or less appears to cause too
5 large a temperature gradient to be acceptable in some
6 circumstances. Strawberries, for example, suffer
7 injury under such conditions, possibly caused by the
8 non-uniform formation of glasses and eutectics.

9
10 As an alternative to altering the environment
11 temperature, different rates of heat extraction may be
12 achieved by altering the efficiency with which the
13 environment extracts heat from the material: cold air
14 or other gas may be passed over the material at
15 different rates for this purpose. A higher gas
16 velocity will achieve a higher heat extraction rate, as
17 can be found with everyday experience of wind chill
18 factors.

19
20 It will be appreciated that the present invention can
21 be put into effect by making adjustments and
22 modifications to enable the appropriate heat extraction
23 protocol to be carried out. As discussed above, this
24 may be achieved by an appropriate protocol for changing
25 the environment temperature. Such protocols can
26 readily be established for various foodstuffs and other
27 biological material by taking into consideration the
28 relevant parameters for each material, for example
29 including:

- 30
31 a) Size;
32 b) Geometry;
33 c) Water content;

- 1 d) Freezing point (to a first approximation this
- 2 is dependent on solute concentration within
- 3 the foodstuff or other material);
- 4 e) Thermophysical values of the material of the
- 5 material, both before freezing and in the
- 6 frozen state; and
- 7 f) Container dimensions and other details.

8

9 Because these parameters differ from material to

10 material a computer can readily be used to derive

11 optimum protocols.

12

13 The temperature history in a sample being cooled in a

14 controlled rate freezer (such as the KRYO 10 series

15 Chamber Model 10-16 by Planar Biomed, Sunbury-on

16 Thames, England) can be calculated by solving

17 numerically the Fourier heat conduction equation in the

18 sample with convective or other boundary conditions as

19 appropriate. (The expression KRYO 10 is a trade mark.)

20 In general, the calculation method must allow for the

21 cooling of an aqueous solution or other material where

22 compositional as well as phase changes occur during

23 freezing. This requires the appropriate molarity-

24 freezing point depression data to be available, to

25 provide the relationship between ice formation and

26 melting temperature. Supercooling of the sample may

27 also be suitably accounted for. In the case of thin

28 slices the temperature gradients across the sample can

29 be assumed negligible and consequently the conduction

30 equation reduces to a simple unsteady heat balance

31 between the time rate of change of enthalpy of the

32 sample and the heat transfer rate across its

33 boundaries. The validity of this simplified

1 calculation has been compared against experimentally
2 derived data. The calculation method has been employed
3 to predict methods to reduce the latent heat plateau
4 within plum slices by manipulation of the environment
5 temperature.

6
7 However for calculating the temperature history in
8 samples of finite thickness, where conduction within
9 the sample is important, it is necessary therefore to
10 solve the full equation. Solving the full unsteady
11 equation with three space dimensions is computationally
12 very time consuming. However, in many cases the
13 temperature gradients in one direction are much greater
14 than in the other two and in these systems a reasonable
15 prediction for the temperature history can be obtained
16 from a one-dimensional model. This model could be
17 developed for 1-d Cartesian, 1-d spherical or 1-d
18 cylindrical geometry.

19
20 In its broadest apparatus aspect, the invention
21 provides an apparatus for freezing material comprising
22 a liquid, the apparatus comprising means for extracting
23 heat from the material and control means for varying
24 the rate of heat extraction to compensate at least in
25 part for latent heat being lost during freezing.

26
27 According to a further aspect of the invention, there
28 is provided an apparatus for freezing a material
29 comprising a liquid, the apparatus comprising means for
30 extracting heat from the material at a first rate while
31 latent heat of fusion of the material is being lost
32 from the material and the temperature of the material
33 is not substantially falling and means for subsequently

1 extracting heat from the material at a second rate when
2 the temperature of the material falls, the first rate
3 of heat extraction being greater than the second rate
4 of heat extraction.

5

6 As discussed above, the apparatus will preferably
7 comprise a (preferably high frequency) sound generator.
8 The medium through which the sound is conducted from
9 the generator to the material may be gaseous, for
10 example air, or solid.

11

12 Each heat extraction means can in general comprise a
13 refrigerated element, which may actively be cooled by
14 expansion of a gas. Conventional diffusion or
15 compression/expansion refrigeration equipment may be
16 used in this embodiment. However, this is not the only
17 form of heat extraction means that can be used. For
18 example, a cold liquid or solid which is dissipated as
19 heat is extracted from the material can be used. An
20 example of a cold liquid that can be used in this way
21 is liquid nitrogen, which will be the material of
22 choice for at least one of the heat extraction means
23 for cryopreserving biological material, as biological
24 material is conveniently stored at the temperature of
25 liquid nitrogen. A cold solid which is similarly
26 dissipated is solid carbon dioxide (dry ice), although
27 the cooling effect of solid carbon dioxide will be less
28 than the cooling effect of liquid nitrogen, because the
29 sublimation point of the former is higher than the
30 boiling point of the latter. A third possibility for a
31 heat extraction means is to use a heat sink which warms
32 up to equilibrium with the material to be frozen, or as
33 nearly as any intervening (for example insulating)

1 material allows in the time available. The heat sink
2 can therefore be a block of relatively cold material,
3 especially a material with high heat conductivity, for
4 example a metal. To counter any adverse problems of
5 condensation, the metal will preferably be non-
6 corrosive, for example by being made of brass or
7 stainless steel. However, any metal can be used if
8 appropriately protected, if necessary.

9
10 Suitable insulating material may be polystyrene
11 (expanded or unexpanded) or another plastics polymer
12 such as polytetrafluoroethylene or acetal but it will
13 be appreciated that any material with suitable
14 properties can be used.

15
16 An apparatus in accordance with the invention can
17 comprise a single heat extraction means, such as one of
18 those discussed above, and control means to control the
19 single heat extraction means to extract heat at the
20 first and second rates. For example, a so called
21 "active" system in accordance with this embodiment of
22 the invention could comprise a refrigerated element,
23 control means and temperature feedback means. The
24 control means could comprise a computer, microprocessor
25 or other electronic means. The temperature feedback
26 means would continuously or continually monitor the
27 temperature of the material to be frozen and relay this
28 information to the control means, which could then
29 cause the refrigerated element to extract heat at the
30 appropriate rate. Such an active system as this gives
31 considerable flexibility for a wide variety of material
32 to be frozen (particularly foodstuffs), but may involve
33 relatively high expense for small amounts of material.

1
2 A similar but simpler embodiment could comprise a
3 refrigerated element which is operable at two rates of
4 heat extraction. The element may be arranged to
5 operate first at a higher heat extraction rate, and
6 then a timer may cause the element to switch to
7 operation at a low heat extraction rate. Such an
8 embodiment can be used when the characteristics of the
9 sample, or at least the environment surrounding the
10 sample, are known, but this may be acceptable in many
11 circumstances, especially when various samples are
12 small compared to the apparatus of the invention, so
13 that any individual variation in characteristics will
14 be relatively small.

15
16 Other preferred embodiments of "active systems" are as
17 follows:
18

19 1) Batch systems. Mechanical freezers are generally
20 cooled by the Joule-Thompson effect and operate at
21 temperatures down to -80°C ; a minimum of -135°C is
22 possible. Material is placed into a closed chamber and
23 left until it has reached the desired temperature and
24 then removed for storage. The air in the chamber may
25 be unstirred or fan driven to achieve forced
26 convection. Additionally, the material to be frozen
27 may be placed statically on shelves or rotated within
28 the freezer.

29
30 The desired thermal profile may be obtained in such a
31 closed system by direct control of the compressor
32 temperature by electrical or mechanical means. In some
33 cases this may be practically difficult as the response

1 time of such a control system may be too slow to
2 generate the desired profile. However, as the minimum
3 operating temperature will be required at the beginning
4 of the process the control of temperature may be
5 achieved by maintaining a constant compressor
6 temperature whilst varying the heat input into the
7 system from an independent heater which is programmed
8 electrically or mechanically to generate the desired
9 profile. In addition, a combination of direct control
10 of compressor output together with an external heater
11 may be employed. The control of temperature may be
12 preprogrammed or alternatively may be actively
13 controlled from temperature sensors placed either in
14 the gas or in the samples to be frozen.

15

16 2) Continuous Systems. The material flows through
17 the freezer on a horizontal conveyor belt or spiral
18 system. Following a retention time within the freezer,
19 the material emerges at a temperature suitable for
20 storage. Gas circulation is usually fan driven; in
21 some cases the cold gas is forced upwards through a
22 perforated conveyor belt so that the samples are
23 suspended as in a fluidised bed. The temperature at
24 the point of entry is invariably warmer than at the
25 point of exit. Cooling may be by mechanical means or
26 alternatively by vapour from a cold liquid such as
27 liquid nitrogen; in this case the minimum operating
28 temperature achievable ($>-160^{\circ}\text{C}$) is lower than in
29 mechanical systems.

30

31 The desired thermal profile is to be achieved by the
32 manipulation of the temperature distribution of the gas
33 through the system. In contrast to the conventional

1 mode of operation the system will be at its minimum
2 temperature at the point of entry of the food and will
3 become warmer towards the point of exit. The
4 temperature gradient within the continuous system may
5 be determined in several ways, including a system of
6 baffles to ensure the recirculation or removal of cold
7 gas, the introduction of warm gas or the positioning of
8 heaters. The velocity of gas flow will also modify the
9 heat transfer and will be selected to be at its maximum
10 at the point of entry, at later stages the flow may
11 either be constant or reduced. In addition, the
12 temperature experienced by the sample may also be
13 modified by control of the speed of the conveyor belt.
14 By employing a series of conveyor belts running at
15 different speeds, the retention times within different
16 areas of the freezer may also be manipulated. A
17 combination of several of these processes may also be
18 appropriate. The control of temperature may be
19 preprogrammed or alternatively be actively controlled
20 from temperature sensors placed either in the gas or in
21 the samples to be frozen.

22
23 3) Immersion in low temperature baths. This is a
24 process generally applied to ices, sorbets etc which
25 are poured as liquids into moulds which are then
26 semi-immersed in a stirred low temperature bath,
27 typically at temperatures of -30°C . Such low
28 temperature baths are usually cooled by contact with a
29 heat exchanger cooled by the Joule-Thompson effect.
30 Following freezing the sample is removed from the mould
31 and placed into storage. The direct immersion of
32 non-moulded foods into liquid cryogenics is generally not
33 considered good practice. However, immersion into

1 liquid CO₂, which is considered to be non-toxic and
2 which evaporates at conventional storage temperatures,
3 may be safely employed for a variety of foodstuffs.

4
5 The temperature profile achieved by immersion could be
6 modified by several potential methods. A series of
7 baths, maintained at different sub-zero temperatures
8 could be employed, with the samples being immersed in
9 sequence through the various baths. Alternatively, the
10 thermal gradient along a single bath may be manipulated
11 to achieve the desired profile, the rate of passage
12 through such a gradient bath could also be modified in
13 a linear or non-linear manner to achieve the desired
14 profile. Again the control of temperature may be
15 pre-programmed or alternatively may be actively
16 controlled from temperature sensors placed either in
17 the fluid or in the samples to be frozen.

18
19 In a quite different embodiment of the invention,
20 apparatus in accordance with the invention can have
21 separate heat extraction means for providing the first
22 and second heat extraction rates, respectively.

23
24 What may be a preferred arrangement is again to have
25 first and second extraction means, but to have the heat
26 extraction means so arranged that together they provide
27 the first heat extraction rate, whereas only one of
28 them (for example the first heat extraction means)
29 provides the second heat extraction rate. This
30 arrangement gives rise to a particularly effective
31 arrangement, particularly for the cryopreservation of
32 biological material. The first heat extraction means
33 may be a bath of liquid nitrogen or an environment of

1 cold nitrogen gas (eg above a bath of liquid nitrogen),
2 which may be below -100°C . Biological or other
3 material to be frozen can be contained in a Dewar flask
4 also containing a cold (eg gaseous nitrogen)
5 environment; the material can be appropriately
6 insulated to provide an acceptable second rate of heat
7 extraction. The cold gaseous nitrogen environment may
8 for preference be provided in a specialised vessel
9 known as a "dry shipper" with which those skilled in
10 the art will be familiar or, less preferably, above a
11 liquid nitrogen bath. As a further possibility,
12 commercial deep freezes may provide an adequate cold
13 environment; they are frequently capable of achieving
14 and maintaining temperatures of from -80°C to -135°C .
15 More generally, mechanical commercial freezers can have
16 operating temperatures from -20 to -140°C , and
17 liquid/gas freezers based on cryogenic gases can
18 operate below these temperatures down to, or at least
19 towards, absolute zero.

20
21 To augment the heat extracting effect of the nitrogen
22 or other primary coolant to a degree sufficient to
23 provide the greater first rate of heat extraction, a
24 second heat extraction means may be provided during the
25 time at which the first rate of heat extraction occurs.
26 Appropriately, the second heat extraction means may be
27 a heat sink, for example, a block of cold brass or
28 another appropriate material, as discussed above. The
29 biological sample or other material to be frozen can
30 again be suitably insulated from the heat sink so that
31 an appropriate first rate of cooling occurs.

32
33 In a preferred embodiment, material to be frozen is

1 held within a block of insulating material within the
2 Dewar flask at one or more points spaced between the
3 centre and the periphery of the block. The periphery
4 of the block will be continuously cooled by a cold
5 environment. The centre of the block can receive the
6 brass or other heat sink, which provides the additional
7 rate of cooling necessary for the first rate of
8 cooling.

9
10 The way in which the heat extraction means can be
11 constituted is not limited to any of the embodiments
12 discussed above, and may for example be a combination
13 of the particular embodiments described or indeed any
14 other suitable arrangement.

15
16 From the above discussion of a preferred embodiment of
17 a passive arrangement, it will be appreciated that the
18 invention also provides means which can be used in
19 conjunction with a dry shipper, liquid nitrogen bath,
20 freezer or any other cold environment, including those
21 discussed above.

22
23 According to another aspect of the invention there is
24 provided a device for use in freezing material
25 comprising a liquid, the device comprising a heat sink,
26 insulating means at least partially surrounding the
27 heat sink and means for holding, within the insulating
28 means, material to be frozen, the device being adapted
29 to withstand a temperature at which the material is
30 frozen.

31
32 The heat sink may, as before, comprise a block of heat
33 conductive material such as a metal, for example brass.

1 It may be formed as a core, for example a generally
2 cylindrical core, around which the insulating means may
3 be placed. The core is preferably detachable from the
4 insulating means; the reason for this preference will
5 be discussed below.

6
7 The insulating means may be any suitable gaseous,
8 liquid or, preferably, solid insulator. Polystyrene,
9 polytetrafluoroethylene (ptfe) and acetal are
10 acceptable. It will be appreciated that the insulator
11 should have low, but not zero, heat conductivity and/or
12 diffusivity. Polystyrene (unexpanded), for example has
13 a thermal conductivity of $0.04 \text{ W.m}^{-1}.\text{K}^{-1}$ and a thermal
14 diffusivity of $2.9 \times 10^{-8} \text{ m}^2.\text{s}^{-1}$. The figures for ptfe
15 and acetal are as follows:

	<u>PTFE</u>	<u>Acetal</u>
19 thermal conductivity	0.24	0.22-0.24
20 $\text{W.m}^{-1}.\text{K}^{-1}$ @ 23°C		
21 thermal diffusivity	0.74	0.30
22 $\text{m}^2.\text{s}^{-1}$		

23
24 The holding means may be any appropriate shape or
25 configuration for holding the material to be frozen.
26 Since at least part of the material will be liquid, the
27 holding means may be adapted to receive a container,
28 for example a straw, ampoule or bag, as discussed
29 above, for the material. Ampoules may be made of
30 glass, plastics or any other suitable material;
31 suitable plastics ampoules include those sold under the
32 trade mark CRYOTUBES. For the case of straws or
33 ampoules to be held in a solid insulating block, the

1 holding means may simply comprise holes drilled or
2 otherwise formed in the block. Several containers may
3 be received in the same hole. It may be that the
4 insulating block has more than one components, which
5 can is used in a single operation of the device: the
6 components may be stacked, one upon the other, with the
7 cylindrical core being extended appropriately such that
8 it accommodates the entire depth of the stacked
9 insulator block components.

10

11 In use, the heat sink (in the preferred embodiment, the
12 brass core) will first be cooled, for example by
13 placing it in a cold environment. The insulating means
14 and the material to be frozen can then be positioned
15 around the heat sink, so that the cold environment at
16 least partially surrounds the insulating means. The
17 material to be frozen will therefore be cooled at the
18 first heat extraction rate by the combined effects of
19 the heat sink and the cold environment until the
20 temperature of the heat sink equilibrates the
21 temperature of the adjacent portion of the insulating
22 means; thereafter, the material to be frozen will be
23 cooled at the second heat extraction rate solely by the
24 effect of the cold environment, the temperature at any
25 time being dependent upon the properties of the cold
26 environment and the thermal properties and dimensions
27 of the insulating means and the heat sink. (The
28 temperature profile is predictable using the computer
29 simulations involved in the design of this piece of
30 equipment, and can be adjusted to suit a required
31 application by varying the parameters considered
32 above.)

33

1 The thermal characteristics of the heat sink and the
2 insulating means, the position of the holding means
3 within the insulating means and the nature of the cold
4 environment will be chosen so that heat is extracted
5 from the material to be frozen at the first extraction
6 rate for the appropriate length of time, ie while
7 latent heat is being extracted from the material and
8 the temperature of the material is not substantially
9 falling.

10
11 According to a further aspect of the invention, there
12 is provided a method of freezing material comprising a
13 liquid, the method comprising providing material to be
14 frozen within insulating means, at least partially
15 surrounding a cold heat sink with the insulating means,
16 and providing a cold environment at least partially
17 surrounding the insulating means.

18
19 The cold environment may be defined by a container
20 which may be well insulated (ie having lower heat
21 conductivity than the insulating means) for example
22 provided by vacuum insulation. The environment may
23 therefore be defined by a Dewar flask or a dry shipper.

24
25 A further application of nucleation of aqueous
26 solutions by acoustics would be the controlled,
27 simultaneous nucleation of multiple samples during the
28 cooling phase of freeze-drying. A possible scenario is
29 the freeze-drying of vaccines, where several thousand
30 small glass vials would be cooled, frozen and dried in
31 the freeze-drying apparatus in a single run.
32 Undercooling of the samples during the cooling phase of
33 freeze-drying is, to some extent, inevitable and

1 without any attempt at synchronised nucleation the ice
2 formation points of individual vials (or other sample
3 container) will vary by several degrees. This will
4 lead to variations in processing time, sample quality
5 as drying begins and inconsistencies in the quality of
6 the completed, dried batch of samples. The problem
7 could be solved if a source of acoustics was
8 appropriately configured and placed within the
9 freeze-dryer to be used to bring about controlled
10 nucleation and ensure that it occurred at a required
11 temperature, and uniformly between the samples.

12
13 In the foregoing discussion, reference has primarily
14 been made to systems in which liquid water is frozen to
15 ice. However, it will be appreciated that the
16 invention is not limited to water based systems.

17
18 Other preferred features of each of the aspects of the
19 present invention are as for the other aspects mutatis
20 mutandis.

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1 For a better understanding of the invention, and to
2 show how it may be put into effect, preferred
3 embodiment of the invention will now be described by
4 reference to the accompanying drawings, in which:
5

6 FIGURE 1 is a graph showing how the temperature of
7 a biological sample varies against time as it is
8 cooled through its freezing point;
9

10 FIGURE 2a shows a vertical sectional view through
11 a device which is a "passive freezer" embodiment
12 of the invention;
13

14 FIGURE 2b shows an exploded perspective view of a
15 further passive freezer embodiment;
16

17 FIGURE 2c shows an exploded perspective view of a
18 still further passive freezer embodiment;
19

20 FIGURE 3 shows five temperature cooling curves for
21 material cooled in accordance with the invention;
22

23 FIGURE 4 shows a temperature cooling curve for
24 plum slices frozen in accordance with Example 1 of
25 the invention and a comparative temperature
26 cooling curve for plum slices frozen by a
27 conventional blast freezing apparatus; and
28

29 FIGURE 5 shows a temperature cooling curve for
30 strawberry halves frozen in accordance with
31 Example 2 of the invention and a comparative
32 temperature cooling curve for matched strawberry
33 halves frozen by a conventional blast freezing
34 apparatus.

1 Referring now to the drawings, Figure 1 illustrates a
2 general problem which is solved by means of the
3 invention. Figure 1 is a graph of time against
4 temperature for a bovine embryo being cooled through
5 its freezing point towards its cryopreservation
6 temperature in liquid nitrogen. The embryo is kept in
7 bovine embryo culture medium plus 10% v/v glycerol as a
8 cryoprotectant, as is conventional, in an 0.25 ml
9 plastic embryo cryopreservation straw. Line A shows
10 the temperature of the cooling environment surrounding
11 the embryo and Line B shows the temperature of the
12 cryoprotectant contained in the straw and immediately
13 surrounding the embryo itself. Over time, the
14 environment temperature falls steadily. For the
15 cryoprotectant medium, however, (and, it can be
16 assumed, for the embryo itself, as the temperatures of
17 the cryoprotectant and the embryo will not be expected
18 to be significantly different) the temperature starts
19 to fall steadily, towards and below the melting point
20 (T_m) of the medium containing the embryo. The
21 biological material then supercools until the
22 nucleation point (T_n) is reached. At this point, the
23 water in the material begins to crystallise, and the
24 latent heat of fusion of the water in the sample is
25 released. The temperature of the embryo sample thus
26 increases from T_n to T_m . After the latent heat of
27 fusion has been released, the sample continues to cool,
28 but by this stage the temperature differential between
29 the sample and the surroundings is greater than it
30 previously was. The rate of temperature drop for the
31 sample therefore increases, because of the operation of
32 Newton's law of cooling. The slope of curve B becomes
33 unacceptably steep, which is reflected in damage

1 occurring to the embryo. In this context,
2 "unacceptable" means the recorded rate of cooling
3 differs (by being more rapid) from the rate recommended
4 or used in conventional practice to achieve successful
5 cryopreservation; an unacceptable rate is that which
6 could be expected to contribute to serious injury in
7 the frozen sample. This general principle would hold
8 whenever the cooling rate recommended in a published
9 procedure differs significantly from the rate recorded
10 during operation of the protocol: hence the requirement
11 to control cooling rate.
12

13 Such difficulties can be avoided by means of the
14 present invention, part of one embodiment of which is
15 shown in Figure 2a, which shows a device 1 which is in
16 accordance with the third aspect of the invention and
17 which is adapted to be placed in a cold environment
18 such as in a Dewar flask or dry shipper containing
19 liquid nitrogen.
20

21 The device 1 comprises a vertically arranged, circular-
22 sectioned cylindrical brass core 3, which is 140mm long
23 and 27mm in diameter. The core 3 is provided at its
24 bottom end with a spigot 5 for location in a
25 corresponding socket in a bevelled, centrally located
26 boss 7 integral with a base plate 9. The base plate 9
27 and boss 7 are constructed from laminated polystyrene.
28 The base plate 9 is in the form of a disc 200mm in
29 diameter and 20mm thick. The boss 7 has a minimum
30 diameter of 27mm, to correspond with the brass core 3,
31 a height of 20mm, and is bevelled outwardly towards the
32 base plate 9 at 45°. In use, the brass core 3 is
33 firmly attached to the boss 7 and base plate 9.

1 An insulating block 11, generally in the form of a
2 hollow circular-sectioned cylinder is configured to
3 slide and fit over the brass core 3 and to seat snugly
4 in the boss 7 and base plate 9. The insulating block
5 11 is also constructed from laminated polystyrene and
6 it has a maximum height of 180mm and a diameter of
7 200mm. Its hollow has a diameter of 2.7cm to
8 correspond with the brass core.

9
10 A first series of twelve holes 13 are formed in the
11 insulating block 11. They extend vertically
12 downwardly, parallel to the axis of the brass core 3
13 and are symmetrically arranged about the core's axis.
14 Each hole 13 in the first series is 3mm in diameter and
15 extends down from the uppermost surface of the
16 cylindrical block 11 to a depth of 140mm. The axis of
17 each of the holes 13 lies 35mm from the axis of the
18 brass core 3 or 21.5mm from the periphery of the brass
19 core 3.

20
21 Second, third and fourth series of twelve holes lie, in
22 register, radially outwardly from the first series;
23 representative holes are indicated by reference
24 numerals 15, 17 and 19, respectively. The axis of the
25 holes of the second series 15 lie 50mm radially
26 outwardly from the axis of the brass core 3, and the
27 corresponding distances for the third and fourth series
28 17 and 19 are 65mm and 80mm; otherwise the holes of the
29 second, third and fourth series 15, 17 and 19 are as
30 for the first series 13.

31

32 The purpose of each series of holes 13, 15, 17 and 19
33 is to hold plastics straws (not shown) conventionally

1 used for the cryopreservation of mammalian embryos and
2 gametes. Such straws are available from IMV, L'Aigle,
3 France, and are internally coated with cholesterol, as
4 taught in EP-A-0246824. Instead of coating straws (or
5 any other container) with cholesterol, crystals of an
6 appropriate nucleator, including cholesterol, can be
7 added to the contents. Appropriate nucleators are
8 available from Cell Systems Limited under the trade
9 marks CRYOSEED or XYGON.

10

11 On top of the insulating block 11, and covering the top
12 of the brass core 3 and the first to fourth series of
13 holes is an insulating cover plate 21 in the form of a
14 disc of 200mm diameter to correspond to the insulating
15 block 11. The cover plate 21 is constructed of
16 laminated polystyrene and is 20mm thick.

17

18 In use, the brass core 3 and base plate 9 are first
19 placed in a cold environment, for example in a dry
20 shipper. (A dry shipper is a well insulated container
21 resembling a large Dewar flask lined with absorbent
22 material containing liquid nitrogen; because the
23 nitrogen is absorbed, there is little or no free liquid
24 in the shipper.) The brass core 3 is allowed to
25 equilibrate with the cold environment, whereafter the
26 insulating block 11, containing twelve straws in the
27 first series of holes 13, each containing a bovine
28 embryo, is positioned round the brass core 3 to seat on
29 the base plate 9. The cover plate 21 is then placed on
30 the insulating block 19, and the device 1 is left to
31 cool.

32

33

1 Initially, the straws are cooled both by the influence
2 of the brass core 3 and by the cold environment. This
3 combined action provides a relatively high rate of heat
4 extraction from the embryos. The cooling curves of
5 five samples of cooling medium for bovine embryos in
6 the first series of holes 13 are shown in Figure 3.
7 (The embryos are in cryopreservation straws containing
8 bovine embryo culture medium plus 10 % v/v glycerol as
9 a cryoprotectant.) The first heat extraction rate is
10 applied while the water is supercooling, shown at
11 region C of the curve. The temperature of the sample
12 drops below the melting point (T_m) and supercooled
13 slightly to the nucleating point (T_n). The nucleating
14 temperature is not far below the melting point, because
15 of the presence of the cholesterol ice nucleator within
16 the straws. However, when the temperature reaches the
17 nucleating point (T_n) the sample temperature rises as
18 shown at D to the melting point (T_m). By the time the
19 temperature of the embryos begins significantly to drop
20 again, the brass core 3 has substantially equilibrated
21 with the embryos and the intervening material of the
22 insulating block 11. Therefore, the continued heat
23 extraction is solely towards the periphery of the
24 insulating block 11, and so the rate of heat extraction
25 from the samples is lower. The slope of the graph at E
26 is therefore acceptably smooth and not too steep and no
27 damage results to the embryos, which can then safely be
28 allowed to cool to the temperature of the cold
29 environment (-80°C). In the temperature range -25° to
30 -30°C , the average rate of cooling was found to be
31 $0.32^{\circ}\text{C}/\text{min}$ with this configuration.

1 Figure 2b shows a further embodiment of a passive
2 freezer, broadly similar to that shown in Figure 2a,
3 but including a handle assembly 101 and locating lugs
4 103 on an insulating block 105 adapted to extend
5 through a cover plate 107 and to engage apertures in a
6 locating disc 109 of the handle assembly 101. A
7 locating lug 111 on the cover plate 107 locates in a
8 spigot 113 of the handle assembly 101. The insulating
9 block 105 is made of acetal and has sample placement
10 holes 106 adapted to receive 2.5ml ampoules for
11 cryopreservation of, for example, mammalian cell lines.
12 The insulating block 105 is seated on a bevelled boss
13 115 on a base 117 and surrounds a brass core 119. All
14 components other than the brass core are made of
15 acetal. Salient dimensions of the device of Figure 2b
16 are as follows:
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ACETAL CONSTRUCTION
cryo-ampoules [c2.5ml]

Component	DIMENSION [mm]	
	Diameter:Depth/height	
1 Lid 107	200	: 40
2 Block 105	200	: 140
3 Locating lugs 103 [2]	15	: 52
4 Brass rod 119	57	: 140
5 Base 117	200	: 20

Machined holes

6 Sample placement holes 106	13	: 50
7 Countersink for boss 115	5	
8 Centre of sample placement hole 106 to perimeter of block 105		44
9 Centre of locating lug 103 to perimeter of block 105		22.5
10 Hole for brass rod 119	57	: 140

Note 1: the height of the locating lugs 103 does not include threaded portion inserted into block - dimensions not critical

Note 2: the height of the brass rod 119 does not include locating lug on base - dimensions not critical

Note 3: the base 117 has three small acetal feet mounted, equally spaced, at the periphery. Feet 5mm high x 5mm diam. Size of boss to locate brass rod and block not critical.

1 This construction, when used in conjunction with a
 2 liquid nitrogen-containing dry shipper, allows a
 3 cooling rate of $-1^{\circ}\text{C}/\text{min}$.

4
 5 A different embodiment, essentially similar in
 6 construction to that shown in Figure 2b but for use in
 7 connection with cryopreservation straws (eg for bovine
 8 embryos), has the acetal component parts replaced with
 9 PTFE parts. The salient dimensions are as follows:

PTFE CONSTRUCTION
 plastic straws
 [0.25/0.5ml]

Component	DIMENSION [mm] Diameter:Depth/height
-----------	---

1 Lid 107	200 : 20
2 Block 105	200 : 160
3 Locating lugs 103 [2]	35 : 10
4 Brass rod 119	22 : 160
5 Base 117	200 : 20

Machined holes

6 Sample placement holes 106	3 : 133
7 Countersink for boss 115	5
8 Centre of sample placement hole 106 to perimeter of block 105	63
9 Centre of locating lug 103 to perimeter of block 105	30
10 Hole for brass rod 119	22 : 160

1 Note 1: the height of the locating lugs 103 does not
2 include threaded portion inserted into block -
3 dimensions not critical

4
5 Note 2: the height of the brass rod 119 does not
6 include locating lug on base - dimensions not critical

7
8 Note 3: the base 117 has three small acetal feet
9 mounted, equally spaced, at the periphery. Feet 5mm
10 high x 5mm diam. Size of boss to locate brass rod and
11 block not critical.

12
13 This construction, when used in conjunction with a
14 liquid nitrogen-containing dry shipper, again allows a
15 cooling rate of $-0.3^{\circ}\text{C}/\text{min}$.

16
17 Figure 2c shows a still further embodiment of a passive
18 freezer. The construction is a modification of that
19 shown in Figure 2b, and like components have been given
20 the same reference numerals. The principal difference
21 is that in the Figure 2c construction the insulating
22 block 105 has been replaced with two half height blocks
23 105a and 105b; this allows for more of ampoules to be
24 present (up to 15). Salient dimensions of the device
25 of Figure 2c are as follows:

26
27
28
29
30
31
32
33

ACETAL CONSTRUCTION
cryo-ampoules [c2.5ml]

Component	DIMENSION [mm]	
	Diameter:Depth/height	

1 Lid 107	200	: 40
2 Block 105a	200	: 70
3 Block 105b	200	: 70
4 Locating rods 103 [2]	15	: 123
5 Brass rod 119	57	: 120
6 Base 117	200	: 20

Note 1: the height of the locating lugs 103 does not include threaded portion inserted into block - dimensions not critical

Note 2: the height of the brass rod 119 does not include locating lug on base - dimensions not critical

Note 3: the base 117 has three small acetal feet mounted, equally spaced, at the periphery. Feet 5mm high x 5mm diam. Size of boss to locate brass rod and block not critical.

Machined holes

7 Sample placement holes 106	13	: 50
8 Countersink for boss 115	5	
9 Centre of sample hole 106 to perimeter of block 105	44	
10 Centre of locating lug 103 to perimeter of block 105	22.5	
11 Hole for brass rod 119	57	: 120

1
2 It must be noted that the configuration described here
3 in detail are only a few of a great number of possible
4 configurations, depending upon the cooling rate
5 required and the type of sample holder (for example
6 straw or ampoule) to be cooled.

7

8 The variables can be:

9

10 i the diameter of the insulator (although in
11 practice it may be convenient to use a
12 standard diameter for a range of products for
13 manufacturing and marketing reasons);

14

15 ii the depth of the insulating block;

16

17 iii the diameter of the metal core;

18

19 iv the number, size and placement of the holes
20 for the samples; and

21

22 v the materials of the insulating block and
23 metal core.

24

25 The invention will now be illustrated by the following
26 examples, which relate to active, as well as passive,
27 systems. Unless otherwise stated, all examples of
28 active systems in accordance with the invention (ie
29 those active examples other than comparative examples)
30 were carried out in a PLANAR KRYO 10/16 controlled rate
31 freezing machine. (The expression PLANAR KRYO 10/16 is
32 a trade mark). Temperature was measured with T type
33 thermocouples connected to a SQUIRREL data logger (1200

1 series). (The word SQUIRREL is a trade mark.) Data were
2 transferred to an IBM-compatible computer for storage
3 and analysis. In order to compare different treatments,
4 the time the sample is at the latent heat plateau,
5 defined here as the exotherm time (ET), is used; this
6 is further defined by the final temperature eg ET^{-5} or
7 ET^{-10} being the time from the exotherm to -5°C or -10°C
8 respectively. Application of acoustics was either from
9 a Branson model 250 sonicator operating at 20kHz, a
10 Branson Model 2200 ultrasonic cleaner, a Lucas-Dawe
11 series 6266 immersible transducer, a Telesonics tube
12 resonator type TR connected to a ultrasonic generator
13 type USR-20 (20kHz) or a HILSONICS acoustic driver,
14 model IMG 400 (Hilsonic Ltd, Merseyside, England).

15
16 Example 1

17
18 This example shows that plums freeze better when using
19 an efficient latent heat removal protocol of the
20 invention, even in the absence of acoustics, as
21 compared to conventional methods. Korean dark skinned
22 plums (Tesco foodstores) were sliced into 4.5mm slices
23 and were frozen by a method in accordance with the
24 present invention. For comparison purposes, plum slices
25 were also frozen by conventional methods. The methods
26 used are as follows.

- 27
28 1. Slices were frozen by a method in accordance
29 with the invention. The initial environment
30 temperature was -75°C , which was held for 2
31 minutes. The environment temperature was then
32 warmed to -30°C at $10^{\circ}\text{C}/\text{min}$. The temperature
33 reduction in the plum slice was significantly

1 faster than in the blast freezer treatment (2,
2 below), with a measured exotherm (ET-10) of 80
3 seconds (Figure 4).
4

5 2. (This is a comparison method.) Slices were
6 placed in a commercial blast freezer operating at
7 -40°C; the measured exotherm (ET-10) was 554
8 seconds (Figure 4). They were then transferred to
9 a commercial deep freeze operating at -20°C.
10

11 3. (This is a comparison method.) Material was
12 immersed directly into liquid nitrogen and
13 transferred to a commercial deep freeze. The
14 sample cooled quickly through its exotherm;
15 however the final temperature attained was below
16 -100°C.
17

18 Sensory evaluation of frozen/thawed material was made
19 against fresh plum slices. Frozen plums were removed
20 from the freezer 45 minutes before evaluation and laid
21 on a plate with cling film to cover them. The plums
22 were placed on paper plates before panellists singly,
23 on demand, according to a statistically randomised
24 design. The panellists were instructed to assess the
25 flesh only and to discard the skin of the fruit.
26 Malvern water was used as a mouth wash between samples.
27 24 replicate tastings of each sample were carried out.
28 The assessment took place under purple lighting to
29 disguise any colour differences.
30

31 Results

32

33 Adjusted mean scores for the whole trial are shown

1 below; the scores are on a scale of 1-10.

2 Texture:	1	2	3	4
3				
4 Firmness	5.46	3.46	6.08	7.83
5 Wetness	6.46	7.75	5.67	2.92
6 Crispness	5.42	4.00	6.33	6.79
7 Fibrous/Chewiness	6.25	5.29	6.71	7.42
8 Particulateness	5.25	4.71	5.75	6.88
9 Juiciness	6.92	7.46	6.08	3.79

10

11 Flavour:

12

13 Overall strength	6.33	6.88	6.04	3.75
14 Sweetness	4.79	4.88	4.38	3.63
15 Sharp/Acidic	4.79	4.71	5.00	2.96
16 Bitterness	2.83	2.96	2.88	2.25

17

18 Key: 1 = Present invention; 2 = Blast frozen; 3 =
19 Liquid nitrogen; 4 = Fresh

20

21 Discussion

22

23 Present invention vs. Fresh.

24

25 The fresh sample is significantly firmer, drier, more
26 fibrous/chewy than the sample frozen by the invention.
27 In flavour terms the fresh sample is lower in flavour
28 overall, less sweet and less sharp/acidic than the
29 plums frozen by the invention.

30 Present invention vs. blast freezing.

31

32 The plums frozen by the present invention are
33 significantly firmer and more fibrous/chewy than the

1 blast frozen plums. The remaining parameters show no
2 significant differences.

3 Present invention vs. liquid nitrogen freezing.

4

5 There were no significant differences for any
6 parameters.

7

8 Example 2a

9

10 This example shows that strawberries freeze better when
11 using an efficient latent heat removal protocol of the
12 invention, even in the absence of acoustics, as
13 compared to conventional methods. Spanish class 1
14 strawberries (Sainsburys Foodstores) were halved and
15 frozen by the following methods:

16

17 1) Simulation of blast freezing in a Planar
18 controlled rate freezer, with a rate of cooling of
19 the gas temperature of 1°C/min. The measured
20 exotherm was 660 seconds (Figure 5).

21

22 2) Frozen by a method in accordance with the
23 invention. The initial environment temperature was
24 -50°C for 7 minute with rewarming at 10°C/minute
25 to -30°C. The measure exotherm in the matched
26 strawberry half to treatment 1 was 280 seconds
27 (Figure 5).

28

29 3) Strawberries were frozen by immersion into
30 liquid nitrogen.

31

32

33

34

1 Results.

3 Following freezing in liquid nitrogen many strawberries
4 fractured. Strawberries blast frozen and immersed in
5 liquid nitrogen displayed significant leakage of
6 cellular contents. For those frozen by the present
7 invention leakage was less pronounced and the
8 strawberries were significantly firmer. The exudate
9 was less pigmented than following blast freezing or
10 liquid nitrogen freezing, clearly demonstrating that
11 less intracellular damage occurred following the
12 current method.

14 Sensory evaluation of the frozen/thawed material was
15 made against fresh strawberries. Frozen strawberries
16 were removed from the freezer 45 minutes before
17 evaluation. 25 independent replicate tastings of each
18 sample were carried out.

20 Texture:

21		Treatment		
22				
23	Rating	1	2	3
24				
25	Excellent	-	-	-
26	Very Good	0	3	1
27	Good	2	6	2
28	Fairly Good	3	7	10
29	Moderate	12	6	9
30	Poor	7	3	2
31	Very Poor	1	0	1

33 Key: 1 = Blast Freezing; 2 = Invention; 3 = liquid N₂

1	<u>Flavour:</u>			
2			Treatment	
3				
4	Rating	1	2	3
5				
6	Excellent	-	-	-
7	Very Good	-	1	3
8	Good	3	7	5
9	Fairly Good	4	5	3
10	Moderate	8	8	4
11	Poor	5	2	8
12	Very Poor	5	3	2

13
14 Key: 1 = Blast Freezing; 2 = Invention; 3 = liquid N₂

15
16 There appeared to be little effect of storage time,
17 within the range of from 1 to 30 days, on the quality
18 of the material frozen by the method in accordance with
19 the present invention.

20
21 Both the type of strawberry and the degree of ripeness
22 also determined the quality on thawing; the
23 observations here are not intended to be exclusive but
24 rather to be a guide to the trends observed. The best
25 results were obtained with slightly under-ripe class 1
26 Spanish strawberries. Poorer results were obtained with
27 riper class 1 strawberries of the same type. Good
28 results were achieved with slightly under-ripe class 2
29 Carmel strawberries (from Israel). With ripe class 1
30 Carmel strawberries and class 1 Kenyan strawberries
31 (Sainsburys Foodstores) poorer results were obtained.
32 It must be emphasised that with such riper starting
33 material the results following the method in accordance

1 with the present invention outlined above was always
2 superior to blast freezing or liquid nitrogen freezing
3 of the same material.

4

5 Example 2b

6

7 This example shows that even better results are
8 obtained when strawberries are frozen using an
9 efficient latent heat removal protocol, with the
10 application of acoustics. Strawberries (Californian
11 guadalupe) were obtained in bulk from a retail outlet
12 and sorted to discard all over- or under-ripe material.
13 The selected strawberries were washed and then halved.
14 The separated halves of each fruit were collected
15 together to provide two populations of 280, essentially
16 matched strawberry halves.

17

18 The strawberries were frozen in batches of 70 halves.

19

20 A 12"x12" (30.5cm x 30.5cm) acoustic plate (22.5 kHz,
21 220V, Hilsonic Ltd, Birkenhead, UK) was precooled to
22 -70°C in a CryoMed 2700 freezer and the strawberry
23 halves loaded on to it, which resulted in a temperature
24 rise to -50°C. The material was cooled according to
25 the following protocol: (1) providing an initial
26 environment temperature at -58°C for one minute; (2)
27 warming at 10°C/minute to -48°C.

28

29 Sample temperature was monitored using type T
30 thermocouples embedded in the mid-point of
31 representative strawberry halves, connected to a
32 microprocessor data-logger (Grant Instruments,
33 Cambridge, UK). When the samples reached -20°C they

1 were transferred to storage at -30°C for 5 days.
 2 Samples were thawed by exposure to room temperature for
 3 90 minutes before sensory evaluation.

4

5 When an acoustic treatment was applied a pulse of 2 sec
 6 every 30 sec was used throughout the entire cooling
 7 cycle.

8

9 Subsequently thawed strawberries were subjected to a
 10 sensory evaluation panel, with the following results:

11

12

Characteristic	- acoustics	+ acoustics	sig. dif. in mean scores due to acoustic treatment
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Berry colour	5.6	6.2	nsd
1=dull red			
9=bright red			
Free liquid on plate	4.3	3.4	0.01
1=small amount			
9=large amount			
Firmness	3.2	4.5	0.01
1=soft			
9=firm			
Mushiness	6.2	4.9	0.01
1=not mushy			
9=very mushy			
Overall appearance	5.4	6.4	0.05
1=dislike extremely			
9=like extremely			
Overall Texture	4.2	5.5	0.01
1=dislike extremely			
9=extremely			
Overall flavour	5.0	6.0	nsd
1=dislike extremely			
9=like extremely			
Overall opinion	4.6	5.8	0.10
1=dislike extremely			
9=like extremely			

1 Example 3a

2

3 This example shows that a blanched vegetable, celery,
4 freezes better when using an efficient latent heat
5 removal protocol of the invention, as compared to
6 conventional methods, and that even better results are
7 obtained in the additional presence of acoustics.

8

9 Celery was obtained from a retail outlet. Celery
10 samples were cut into 0.6cm ($\frac{1}{4}$ inch) pieces, and 250g
11 were blanched per run at 90°C (190°F) for 2 minutes.
12 There was a loss of 10% material on blanching. The
13 samples were rinsed with cold water to bring them to
14 room temperature (20°C). The celery samples were then
15 frozen in accordance with the invention using the
16 following protocol:

17

18 (1) The initial environment temperature was
19 maintained at -75°C for 2 minutes;

20

21 (2) The environment temperature was then warmed
22 to -30°C at 10°C per minute. This protocol was
23 followed with and without the application of acoustics.
24 When acoustics was applied, an ultrasound frequency of
25 22.5kHz was used, and the power level was 220 watts,
26 applied over an area of 929cm² (144 square inches),
27 resulting in a power level of 0.24W/cm². The
28 ultrasound was not applied continuously, but rather was
29 applied for 3 seconds every 30 seconds.

30

31 As a control, the blanched celery was also blast frozen
32 at an environment temperature of -40°C. The samples
33 were removed when they reached -30°C. After treatment,

1 some of the frozen celery samples were stored at -30°C
2 and some were subjected to a standard temperature abuse
3 protocol.

4
5 The resulting samples were evaluated in a balanced,
6 sequential order by a tasting panel consisting of 42
7 panelists, who had been pre-screened to have a positive
8 attitude towards evaluating frozen celery slices that
9 had been thawed. A serving consisted of 6 slices of
10 celery that had undergone a given treatment. The
11 celery had been thawed at ambient temperature for 60
12 minutes prior to serving; this was sufficient to
13 eliminate any ice crystals, yet still to be slightly
14 chilled. The panelists were instructed to evaluate all
15 slices having undergone a given treatment before rating
16 the attributes, so that the rating would reflect the
17 majority of slices.

18
19 The results showed that the efficient latent heat
20 removing protocol in accordance with the invention
21 resulted in better firmness, less mushiness and a
22 better overall impression of freshness of flavour than
23 the control, blast-frozen samples. Further, when
24 acoustics was also applied, it was not only found that
25 the samples offered textural advantages over the
26 control samples, but it was also found that they held
27 up better under temperature abuse than the control
28 samples. An additional advantage of the invention
29 displayed was the reduction in the time taken for the
30 sample temperature to be reduced from ambient to the
31 storage temperature (-30°C). Using prior art blast
32 freezing techniques, the time taken to reach -30°C is
33 in the order of 20 minutes. Using an efficient latent

1 heat removal protocol in accordance with the invention,
2 this time is reduced to about 8.2 minutes. A further
3 improvement to about 5.2 minutes, is seen with the
4 additional application of acoustics.

5
6 Example 3b

7
8 Celery sticks were purchased from a local supermarket
9 (Tesco foodstores), washed and cut into 1cm sections.
10 They were blanched for 3 minutes at 80°C, then flushed
11 with cold water. Samples were frozen according to
12 three methods:

13
14 (1) Simulated blast freezing (Planar Kryo 10 set
15 at -40°C);

16
17 (2) According to the invention, using an initial
18 environment temperature of -50 °C, with a hold time of
19 8 minutes, and then warming to -20°C at a rate of
20 10°C/min.

21
22 (3) As in (2) with the addition of acoustics
23 supplied from a 20cm x 20cm plate equilibrated at -50°C
24 (25kHz, 260W power, 2 seconds per 30 seconds pulse
25 time).

26
27 On thawing, texture of the three samples was assessed
28 according to a subjective assay, the results of which
29 were as follows:

30
31 Scored 0-5 (0=poor, 5=excellent)

32
33

1 The average taste panel scores for each treatment were:

2

3 Treatment (1) - 2.5

4 Treatment (2) - 3.0

5 Treatment (3) - 4.0

6

7 Example 4a

8

9 Small new potatoes of less than 4 cm in diameter
10 (Sainsbury's Foodstores) were frozen by a number of
11 treatments, as described below, and evaluated on
12 thawing. Potatoes were neither cooked nor blanched
13 before freezing.

14

15 1) The potatoes were 'blast frozen' as for
16 strawberries in Example 2a above; on thawing the
17 potatoes were very soft, leaked cell water and
18 were considered unacceptable after cooking.

19

20 2) The potatoes were frozen by liquid nitrogen
21 immersion; they invariably fractured during
22 freezing.

23

24 3) The potatoes were frozen by a method in
25 accordance with the present invention by (1)
26 providing an initial environment temperature of
27 -80°C for 1 minute, (2) warming at 10°C/minute to
28 -20°C. On thawing, the potatoes were intact and
29 retained their original texture with no leakage.
30 On boiling, the potatoes were acceptable.

31

32

33

1 Example 4b

2

3 Small new potatoes (3-5cm length, var. M.Bard, Tesco
4 foodstores), were cooked in boiling water for 15
5 minutes, then flushed with cold water until cool. 200g
6 batches were frozen to -30°C by the following methods;

7

8 (1) Simulated blast freezing (-40°C) in a Planar
9 Kryo 10 freezer.

10

11 (2) According to the invention, using a Planar
12 Kryo 10 freezer. The initial temperature was -50°C,
13 which was held for 6.5 minutes; the temperature was
14 then allowed to rise at a rate of 10°C per minute until
15 -20°C was reached.

16

17 (3) As (2) with the addition of ultrasound,
18 supplied over 20cm x 2cm at 360W, 25kHz, and various
19 pulsing lengths, as described below.

20

21 The lengths of latent heat plateaus in the various
22 treatment were measured. Following thawing, batches
23 were assessed by a taste panel, and quantitative drip
24 loss by halving tubers, wrapping in gauze in a funnel,
25 and placing a 3lb (1.36kg) weight on the sample for 20
26 minutes. Smears of sample material were mounted on a
27 microscope slide, and observed using light microscopy.

28

29 The results are given below.

30

31 (1) Lengths of latent heat plateaus (LHP's) in various
32 cooling treatments, were as follows:

33

1			LHP length (minutes)
2	Treatment 1		8
3	Treatment 2		6.5
4	Treatment 3	2s in 15s	7.0
5		2s in 10s	5.0
6		2s in 5s	4.0

7
8 According to sensory evaluation, the treatments were
9 ranked for texture in the following order;

10
11 Treatment 3 2s in 5s > Treatment 3 2s in 10s >
12 Treatment 3 2s in 15s > Treatment 2 > Treatment 1.

13
14 (2) Fluid extrusion.

15		
16	<u>Treatment</u>	<u>Fluid Extruded</u>
17		
18	1	11
19	2	9
20	3 2s in 40s	7

21
22 (3) Microscopy

23
24 Cells from Treatments 1 and 3 were compared. Blast
25 frozen cells showed a loss of organized cell structure
26 and contents, with extensive folding of the cell
27 membrane. By contrast, cells frozen by Treatment 3
28 (acoustics), showed good retention of cellular
29 integrity, and less folding of the cell membrane.

30
31
32
33

1 Example 5

2

3 Two types of asparagus obtained from Sainsbury's
4 Foodstores, which were Peruvian and Thai in origin
5 respectively, were frozen by a number of methods as
6 described below and evaluated following steaming of the
7 thawed product.

8

9 1) Both types of asparagus were blast frozen as
10 described in Example 2a. The subsequently thawed
11 product had poor taste and texture and scored
12 4/20.

13

14 2) Both types of asparagus were frozen in liquid
15 nitrogen. The spears fractured and, on thawing,
16 had very poor taste and texture; they scored 2/20.

17

18 3) Both types of asparagus were frozen by a method
19 accordance with the present invention by (1)
20 providing an initial environment temperature of
21 -80°C for 1 minute and (2) rewarming to -20°C at
22 15°C/minute. On thawing, the taste of the spears
23 was improved, as was their texture on cooking;
24 they scored 10/20.

25

26 Example 5b

27

28 Raw asparagus spears (produce of Thailand, purchased at
29 Sansibury's foodstore) were trimmed to 6 inch (15cm)
30 lengths, and frozen by:

31

32 (1) Simulation of blast freezing in a Planar
33 controlled-rate freezer, set at -40°C.

1 (2) Frozen in a KRYO 10 series chamber Model
2 10-16 controlled rate freezer by Planar Biomed, Sunbury
3 on Thames, England, in accordance with the invention
4 optimised by computer modelling. The initial
5 environment temperature was -50°C, which was held 12
6 minutes, and the temperature was then increased at a
7 rate of 10°C per minute until -20°C was reached.

8
9 (3) Frozen as in (2) with addition of acoustics
10 (22.5KHz, 360W power, 2 seconds per 20 seconds).
11 Acoustics was supplied by a HILSONIC acoustic driver
12 model IMG 400 (Hilsonic Ltd, Merseyside, England)
13 coupled through an ISOPAR M liquid filled chamber to an
14 8" x 8" (20cm x 20cm) plate forming the floor of the
15 freezer chamber. Following freezing, the samples were
16 thawed to ambient temperature over 6 hours. The spears
17 were then cooked for 4 minutes in boiling water, and the
18 three frozen treatments compared with an unfrozen
19 sample using a taste panel.

20
21 The panel recorded average scores (0 - 5, 0=poor,
22 5=excellent):

23
24 Unfrozen - 5
25 Method (1) - 1.5
26 Method (2) - 2.5
27 Method (3) - 3

28
29 Example 6a

30
31 Single cream is an example of a oil in water emulsion.
32 Single pasteurised cream was obtained from Sainsbury's
33 Foodstores. Following freezing and thawing of this

product, separation of the cream solids from the liquids occurs. Freezing damage may be assessed by the loss of liquid through a small mesh filter. 10 ml aliquots were placed in glass universals and frozen by a variety of methods, as described below:

1) Blast freezing, as described in Example 2: on thawing the cream is discoloured yellow, curdled. The liquid loss is 34%;

2) Liquid nitrogen immersion, as described in Example 2a; on thawing the cream does not visually separate but becomes very viscous. The liquid loss is 12%; and

3) Freezing by a method of the present invention, with an initial environment temperature of -80°C for 1 minute, followed by warming at $15^{\circ}\text{C}/\text{minute}$ to -20°C . On thawing the cream does not visually separate; there is an increase in viscosity but not as pronounced as with liquid nitrogen freezing. The liquid loss is 10%.

4) Freezing as for method (3) except that ultrasound was applied for 0.1 seconds for every 1°C cooling of the cream from 0 to -20°C . This combination of acoustic nucleation and efficient removal of latent heat consistently, in five independent trials, further reduced the drip loss by 10-16% of that observed in method (3).

It can be seen, therefore, that the present invention gives results which are appreciably better than blast

1 freezing and which are also better than the more
2 expensive and relatively inconvenient process of
3 freezing by liquid nitrogen immersion.

4
5 Example 6b

6
7 Single cream (Tesco foodstores) was divided into 100ml
8 batches, either in freezer bags supported by metal
9 frames or in metal moulds.

10

11 The cream was frozen according to the following
12 methods:

13

14 (1) Simulated blast freezing (-40°C) using a
15 Planar Kryo 10.

16

17 (2) According to the invention, involving rapid
18 freezing by immersing samples in a Planar Kryo 10
19 controlled rate freezer initially at -80°C (hold 10
20 minutes), then warmed to -20°C at 10°C per minute, with
21 the addition of acoustics throughout the cycle (300W
22 over 20cm x 20cm, 22kHz, 2 seconds every 60 seconds
23 pulsing).

24

25 (3) According to the invention, using a Planar
26 Kryo 10 freezer at -50°C, holding 15 minutes, with the
27 addition of acoustics throughout the cycle as in (2).

28

29 Sensory analysis of the three treatments post-thaw,
30 indicated as follows:

31

32 (1) Separation of the cream had occurred,
33 resulting in liquid loss, very grainy, and buttery
34 tasting.

1 (2) Very good texture, no fluid loss.

2

3 (3) No fluid loss, but texture not as good as in
4 (2).

5

6 Example 7

7

8 Mayonnaise is an example of a water in oil emulsion.
9 Commercial mayonnaise, such as Hellman's, appears to be
10 stable following a wide range of freezing methods. This
11 probably reflects the degree of physico-chemical
12 stabilisation of the product. Home-prepared mayonnaise
13 and non-stabilised commercial mayonnaise such as Kite
14 wholefood mayonnaise separate following freezing and
15 thawing. Such mayonnaises were frozen in 10 ml aliquots
16 in glass universals by the following methods:

17

18 1) Blast freezing, as in Example 2a; total
19 separation of the oil occurred on thawing;

20

21 2) Liquid nitrogen immersion, as in Example 2a;
22 total separation of oil occurred on thawing; and

23

24 3) Freezing by a method in accordance with the
25 present invention, in which the mayonnaise was
26 cooled at 20°C/minute from 0°C to -50°C, held at
27 -50°C for 2 minutes, warmed at 15°C/minute to
28 -20°C. On thawing, there was good retention of
29 texture with little or no separation of
30 constituents.

31

32

33

1 Example 8

2

3 Prepared prawn and mayonnaise sandwiches were obtained
4 from Tesco and Sainsbury's Foodstores and singly frozen
5 by a variety of methods, as follows:

6

7 1) Blast freezing as described in Example 2a; on
8 thawing there was a total separation of the
9 mayonnaise: the oil component seeped through the
10 lower slice of bread and the product was totally
11 unacceptable;

12

13 2) Liquid nitrogen immersion as described in
14 Example 2a; fracturing of the sandwich occurred
15 and on thawing there was total separation of
16 mayonnaise as in (1) above;

17

18 3) Freezing by a method in accordance with the
19 present invention, in which each sandwich is
20 cooled at 20°C/minute to -50°C, held isothermally
21 at that temperature for 30 minutes and then warmed
22 at 10°C/minute to -20°C. On thawing the product
23 was acceptable. There was little or no separation
24 of the mayonnaise, good retention of prawn quality
25 and no fracturing of the bread.

26

27 Example 9

28

29 Fillets of fresh Scottish smoked salmon (Sainsbury's
30 foodstore) were frozen according to two methods:

31

32 (1) Simulation of blast freezing in a Planar Kryo
33 10 controlled-rate freezer st at -40°C.

(2) In accordance with the invention, using thermal modelling and ultrasonics application. The initial environment temperature was -50°C , which was held for 4 minutes, and the temperature was increased at a rate of 10°C per minute until -20°C was reached. Ultrasonic acoustics was supplied at 360W over 20cm x 20cm, 22.5kHz and 2 seconds per 40 seconds pulsing.

Following thawing, samples were tested by a panel for texture and taste. The panel recorded average scores of:

Unfrozen : 5

Method (1) : 1

Method (2) : 3

(0-5, 0=poor, 5=excellent).

Example 10

25ml ice pops (similar to sorbets) were obtained from a local supermarket (Tesco Foodstores), and frozen according to two methods;

(1) By processing according to the invention by holding first at -50°C for 5 minutes and then increasing the temperature at $10^{\circ}\text{C}/\text{min}$ until -20°C was reached in the sample, as detected by a thermocouple.

(2) As (1), with the addition of ultrasound delivered from a 20cm x 20cm plate equilibrated at

1 -50°C, powered by a 260W, 22.5kHz generator, 2 seconds
2 per 40 seconds pulsing. There results were as follows:
3 Cooling profiles in the two treatments varied, with
4 acoustic treatment considerably reducing latent heat
5 plateaus; and freezing time to -20°C. An assessment of
6 crystal size by eye indicated smaller ice crystals were
7 present in the sample frozen with acoustics compared to
8 the sample frozen without. In addition, the ice pops
9 frozen with acoustics were harder to the bite and
10 crispier in texture than those without acoustics.

11

12 Example 11

13

14 Cream cheese (Kraft General Foods) was sliced into
15 $\frac{1}{4}$ inch (1.3cm) cubes, and samples frozen according to
16 the following methods:

17

18 (1) Simulated blast freezing in a Planar Kryo 10
19 controlled rate freezing apparatus held at -40°C;

20

21 (2) According to the invention, again using a
22 Planar Kryo 10 apparatus but using a hold time at -50°C
23 for 5 minutes then warming at 10°C/min to a temperature
24 of -20°C.

25

26 (3) As (2), with the addition of ultrasound,
27 supplied at 360W over 20cm x 20cm, 25kHz, 2 seconds per
28 30 seconds pulsing.

29

30 When thawed, the samples were analysed by a taste panel
31 on a 0-5 ranking (0=poor, 5=excellent). The average
32 scores were:

33

1 Unfrozen : 5
2 Method (1) : 3
3 Method (2) : 3.5
4 Method (3) : 4.0

6 Example 12

8 Lean beef was obtained from a local butcher and sliced
9 into approximately 1" (2.5cm) cubes. Four samples of
10 375g each were frozen according to the following
11 methods:

13 (1) Using a -20°C chest freezer

15 (2) Simulation of blast freezing (-40°C, Planar
16 Kryo 10).

17
18 (3) According to the invention, in a Planar Kryo
19 10 controlled rate freezer kept initially at -50°C for
20 15 minutes and then warmed at a rate of 10°C/min until
21 the temeptrature reached -20°C. Acoustics (360W over
22 20cm x 20cm, 25kHz, 2 seconds per 30 seconds pulsing)
23 was supplied.

25 Following incubation at -20°C overnight, samples were
26 thawed, and fluid loss from the samples assayed over 6
27 hours.

29 (1) 14ml

30 (2) 3ml

31 (3) 2.5ml

1 Example 13

2

3 This example demonstrates that acoustics improve an
4 otherwise conventional blast freezing process.

5

6 Belgian strawberries were purchased from a local
7 supermarket (Tesco Foodstores), washed, halved and
8 divided into 100g batches.

9

10 Batches were frozen according to the following methods:

11

12 (1) Simulation of blast freezing in a Planar Kryo
13 10 controlled-rate freezer, set at -40°C.

14

15 (2) As (1), with the addition of a 20cm x 20cm
16 ultrasonics plate equilibrated at -40°C, supplied by an
17 external generator with 360W, 25kHz, with pulsing of 2
18 seconds every 30 seconds, 2 seconds every 60 seconds
19 and 2 seconds every 120 seconds.

20

21 (3) As (2) with 260W power.

22

23 Following freezing, samples were assayed for drip loss
24 over a 6 hour period.

25

26 The results obtained were as follows:

27

28

29

30

31

32

33

Freezing Method	Drip loss (ml)	
	260W power	360W power
(1)	12	14
(2) 2s in 30s	13	18
2s in 60s	10	15
2s in 120s	12	12

These results indicate that improved freezing can be obtained when blast freezing/acoustics are combined, providing pulsing intervals are optimized.

Example 14a

This example demonstrates that acoustics improves an otherwise conventional chest freezing process.

Honeydew melons were frozen to -20°C according to two methods:

(1) In a chest freezer set at -20°C .

(2) On a 20cm x 20cm ultrasonics plate equilibrated at -20°C powered by a generator providing 22.5kHz frequency, 260W power, at on/off intervals of 2 seconds every 40 seconds.

(3) As (2) with a fluid-filled plate, incorporating a glycol-filled layer.

Upon thawing, the treatments were assayed by a taste panel, which scored for texture on a range of 0 (poor) - 10 (excellent).

- 1 Treatment (1) 2
2 Treatment (2) 4.5
3 Treatment (3) 3.5
4

5 Example 14b
6

7 Honeydew melons (Tesco Foodstores) were halved and,
8 using a 3cm diameter scoop, samples were removed, mixed
9 and 200g portions frozen by the following methods:
10

11 (1) Simulation of blast freezing in a Planar Kryo
12 10 controlled-rate freezer, set at -40°C.
13

14 (2) Frozen in accordance with the invention. The
15 environment temperature was initially -50°C, with a
16 holding time of 16 minutes, and the temperature was
17 raised at a rate of 10°C per minute to -20°C.
18

19 (3) Frozen as in (2), with the addition of
20 acoustics (22.5kHz, 260W over 20cm x 20cm, 2 seconds
21 per 30 seconds).

22 Following freezing, the samples were maintained at
23 -20°C overnight, then thawed for 6 hours. The fluid
24 lost from each sample was recorded:
25

- 26 (1) 31mls
27 (2) 15mls
28 3) 13mls
29

30 Example 15
31

32 A typical ice cream mix without preservatives was
33 frozen in a chest freezer at -50°C with and without the

1 application of acoustics. 13 samples (25 to 27ml) were
2 placed in stainless steel cylindrical moulds (length
3 12cm, mean diameter 2.2cm) and immersed in a 30% w/v
4 solution of calcium chloride in a Branson (Shelton,
5 Connecticut, USA) Model 2200 ultrasonic cleaner. The
6 ultrasonic cleaning bath was placed in the chest
7 freezer and the bath solution was maintained at -40°C.
8 For the samples under test, acoustics was applied at 70
9 to 80% of the maximum power level (120W) at a frequency
10 of 47kHz. The frequency was pulsed for 45 seconds
11 every 30 seconds. The samples were removed when a
12 temperature of -30°C was reached. The control and
13 experimental samples of the frozen ice cream mix were
14 divided into halves, with one part being stored at
15 -30°C and the other being subjected to accelerated
16 thermal abuse.

17
18 A significant improvement in quality was observed in a
19 blind taste test for the ice cream that had been
20 subjected to acoustics during the freezing process.
21 Additionally, the time taken to reach -30°C was
22 significantly less, when acoustics was applied.
23 Freezing could therefore be achieved more rapidly with
24 the application of acoustics.

25
26 Example 16

27
28 This example demonstrates that the acoustics aspect of
29 this invention has application during the cooling phase
30 of a freeze-drying (lyophilisation) operation.

31
32 0.5ml of distilled water was placed in each of 20
33 conventional glass freeze-drying vials and cooled to

1 -4°C without freezing. The vials were placed on a
2 precooled (-5°C) 20cm x 20cm acoustic plate (Hilsonic
3 Ltd) and immediately subjected to 2 seconds of 25kHz
4 acoustics at 320W. The contents of each of the vials
5 nucleated instantly, demonstrating the feasibility of
6 nucleating undercooled aqueous or other solutions in
7 glass vials, using an acoustic source that was
8 configured such that it could also be used as the shelf
9 upon which the vials were standing.

10

11 Example 17 - Bacterial Cells

12

13 Bacteria were harvested from culture slopes in 10ml of
14 nutrient broth + 10% v/v glycerol and the resulting
15 suspended bacterial population measured into 1ml
16 aliquots in polypropylene CRYOTUBES [2ml]. CryoSeeds™
17 cholesterol crystals [Cell Systems, Cambridge] were
18 added to each tube to ensure reproducible ice
19 nucleation.

20

21 The tubes were transferred either to a Planar Kryo 10
22 conventional programmable freezer [Planar Products,
23 Sunbury on Thames, Middx] or to a passive freezing
24 device as described above in relation to Figure 2b and
25 configured to be cooled at 1°C per minute. The tubes
26 were cooled to -70°C, when they were removed and
27 plunged into liquid nitrogen. Samples temperatures
28 were monitored using a Type T thermocouple/electronic
29 thermometer combination with the probe immersed in one
30 of the samples.

31

32 The tubes were thawed by immersion in water at 25°C and
33 the samples spirally-plated onto nutrient broth to
34 provide a viable cell count.

		% viable cells [means of duplicate cultures]	
		<u>Planar freezer</u>	<u>Passive freezer</u>
5	<u>Escherichia coli</u>	82.45	82.70
6	<u>Staphylococcus aureus</u>	80.70	81.45
7	<u>Neisseria meningitidis</u>	63.85	59.45
8	<u>Haemophilus influenzae</u>	59.50	70.65
9	<u>Vibrio cholerae</u>	75.70	72.45

10

11 The results show that the passive freezer of this
 12 invention enables good results to be obtained even with
 13 a small and portable piece of equipment.

14

15 Example 18 -Bovine embryos

16

17 Bovine embryos at the 4-cell stage of development were
 18 incubated in ovum culture medium + 10% v/v glycerol and
 19 then loaded individually into 0.25ml plastic straws.
 20 XYGONTM cholesterol was incorporated into 5 straws
 21 which were cooled in the passive freezer as described
 22 in relation to Figure 2, configured to provide a
 23 -0.3°C/min cooling rate, before plunging into liquid
 24 nitrogen. The remaining 5 straws were cooled in a
 25 Planar R206 controlled rate freezer and seeded manually
 26 at -6°C.

27

28 The cooling profile for this machine was:

29

30 cool @ 5.0°C per min from 20 to -5°C

31 cool @ 0.2 -5 -6°C

32 seed during the second step

33 cool @ 0.5 °C per min from -6 to -32°C

34 plunge into liquid nitrogen

1 Embryos were thawed by immersion of the straws in water
2 at 30°C, rinsed in several washes of culture medium
3 with decreasing concentrations of cryoprotectant and
4 incubated in culture medium overnight.

5
6 Of the five embryos frozen in the passive freezer, four
7 were in excellent condition after culture and the fifth
8 was still of an acceptable quality for transplanting.
9 The embryos cooled in the Planar freezer were scored as
10 (three) excellent and (two) still viable but not
11 acceptable for transplanting.

12
13 Example 19 - Mammalian Cell Lines

14
15 A range of cultured mammalian cells were suspended in
16 91% FBS culture medium with 10% v/v DMSO, placed in
17 2.5ml plastic ampoules and then frozen in the passive
18 freezer described above in relation to Figure 2b and
19 configured to cool at 1.0°C per min. The cells were
20 removed from the freezer when the samples had reached
21 -18°C and were plunged directly into liquid nitrogen
22 for a minimum storage period of 24h.

23
24 Recovered cells were cultured in vitro and viable cell
25 counts taken, based on the mean of two ampoules.

26
27
28
29
30
31
32
33

83

<u>1</u>	<u>Cell Line</u>	<u>% Viability</u>
2		
3	TRK-49F	97
4	Rat fibroblast	
5		
6	COS-7	98
7	Monkey kidney cells	
8		
9	3T3-Li	95
10	Mouse fibroblast	
11	<hr/>	
12		
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15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
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33		

CLAIMS

1
2
3 1. A method of freezing material comprising a liquid,
4 the method comprising extracting heat from the material
5 and varying the rate of heat extraction to compensate
6 at least in part for latent heat being lost during
7 freezing.

8
9 2. A method of freezing material comprising a liquid,
10 the method comprising extracting heat from the material
11 at a first rate while latent heat of fusion of the
12 material is being lost from the material and the
13 temperature of the material is not substantially
14 falling and subsequently extracting heat from the
15 material at a second rate when the temperature of the
16 material falls, the first rate of heat extraction being
17 greater than the second rate of heat extraction.

18
19 3. A method as claimed in claim 1 or 2, wherein the
20 liquid is aqueous.

21
22 4. A method as claimed in claim 3, wherein latent
23 heat removal is achieved in at most 50% of the time
24 observed when following conventional blast freezing
25 techniques at -30°C.

26
27 5. A method as claimed in any one of claims 1 to 4,
28 wherein the material to be frozen comprises cells of
29 biological origin.

30
31 6. A method as claimed in claim 5, wherein the cells
32 are animal gametes or embryos.

33

- 1 7. A method as claimed in any one of claims 1 to 5,
2 wherein the material to be frozen comprises a
3 foodstuff.
4
- 5 8. A method as claimed in claim 8, wherein the
6 foodstuff is for human consumption.
7
- 8 9. A method as claimed in claim 7 or 8, wherein the
9 foodstuff comprises a vegetable, bread or another
10 bakery product, meat, fish, sea food or fruit.
11
- 12 10. A method as claimed in claim 9, wherein the fruit
13 is soft fruit.
14
- 15 11. A method as claimed in claim 7 or 8, wherein the
16 foodstuff comprises ice cream and/or chocolate.
17
- 18 12. A method as claimed in any one of claims 1 to 11,
19 which comprises initiating nucleation of solidifiable
20 liquid.
21
- 22 13. A method as claimed in any one of claims 1 to 12,
23 wherein the material being frozen is subjected to sound
24 waves.
25
- 26 14. A method of freezing material comprising a liquid,
27 the method comprising abstracting heat from the
28 material and applying sound waves to the material by
29 means of a non-liquid contact with the material.
30
- 31 15. A method of freezing material comprising a liquid,
32 the method comprising abstracting heat from the
33 material and applying sound waves to the material at a

- 1 power level of less than 2 W/cm^2 .
2
3 16. A method of freezing material comprising a liquid,
4 the method comprising abstracting heat from the
5 material and intermittently applying sound waves to the
6 material.
7
8 17. A method as claimed in any one of claims 13 to 16,
9 wherein the sound waves are at a frequency of at least
10 16 kHz.
11
12 18. A method as claimed in any one of claims 13 to 17,
13 wherein the sound waves are pulsed.
14
15 19. A method as claimed in any one of claims 13 to 18,
16 wherein the sound waves are applied at a power level of
17 less than 2 W/cm^2 .
18
19 20. A method as claimed in claim 12, wherein
20 nucleation is achieved at least partly by use of a
21 chemical nucleator.
22
23 21. A method as claimed in any one of claims 1 to 20,
24 wherein the material is being freeze-dried.
25
26 22. An apparatus for freezing material comprising a
27 liquid, the apparatus comprising means for extracting
28 heat from the material and control means for varying
29 the rate of heat extraction to compensate at least in
30 part for latent heat being lost during freezing.
31
32 23. An apparatus for freezing a material comprising a
33 liquid, the apparatus comprising means for extracting

1 heat from the material at a first rate while latent
2 heat of fusion of the material is being lost from the
3 material and the temperature of the material is not
4 substantially falling and means for subsequently
5 extracting heat from the material at a second rate when
6 the temperature of the material falls, the first rate
7 of heat extraction being greater than the second rate
8 of heat extraction.

9
10 24. A device for use in freezing material comprising a
11 liquid, the device comprising a heat sink, insulating
12 means at least partially surrounding the heat sink and
13 means for holding, within the insulating means,
14 material to be frozen, the device being adapted to
15 withstand a temperature at which the material is
16 frozen.

17
18 25. A device as claimed in claim 24, wherein the heat
19 sink comprises metal.

20
21 26. A device as claimed in claim 24 or 25, wherein the
22 insulating means comprises plastics material.

23
24 27. A method of freezing material comprising a liquid,
25 the method comprising providing material to be frozen
26 within insulating means, at least partially surrounding
27 a cold heat sink with the insulating means, and
28 providing a cold environment at least partially
29 surrounding the insulating means.

30
31 28. An apparatus for freezing material comprising a
32 liquid, the apparatus comprising means for abstracting
33 heat from the liquid and means for applying sound waves

1 to the material, wherein (a) the sound waves are
2 applied to the material by means of a non-liquid
3 contact with the material and/or (b) the means for
4 applying sound waves to the material is adapted to
5 deliver the sound waves at a power level of less than 2
6 W/cm² and/or (c) the means for applying sound waves to
7 the material is adapted to deliver the sound waves
8 intermittently.

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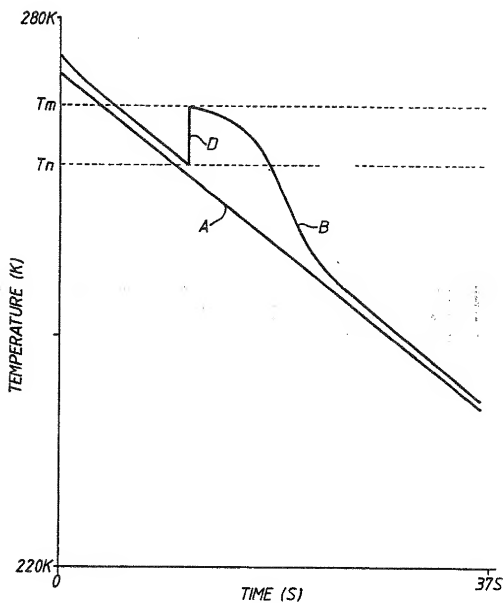


Fig.1.

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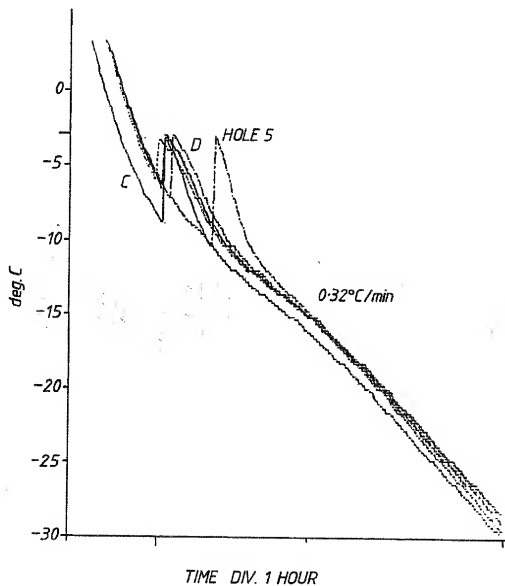


Fig. 3.

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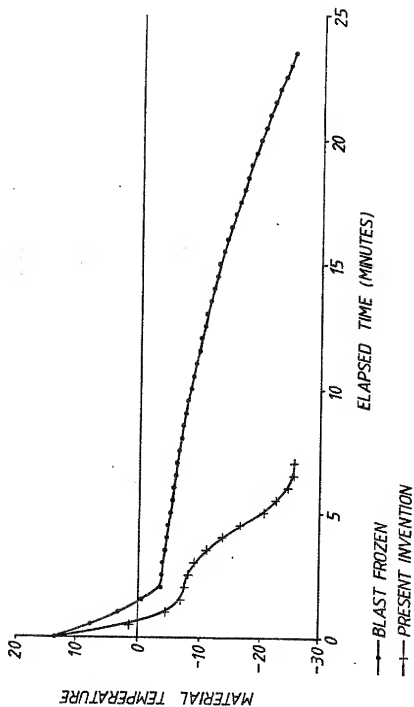


Fig. 4.

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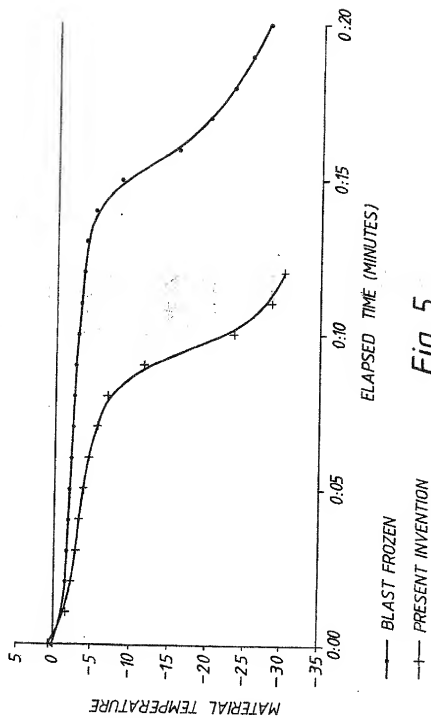


Fig. 5.

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